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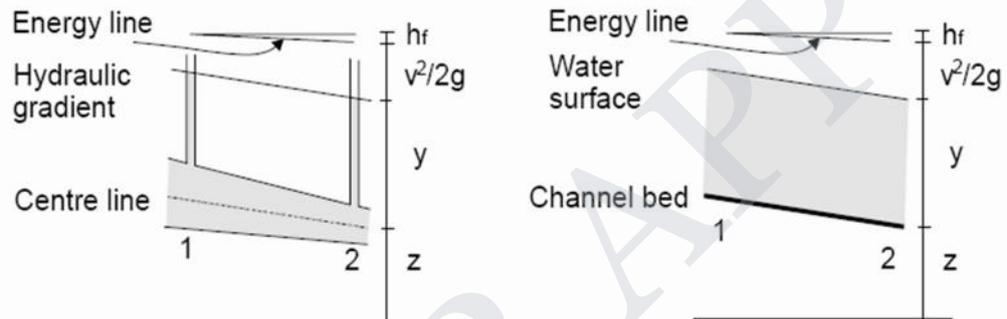
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## Prerequisite

The flow of water in a conduit may be either open channel flow or pipe flow. The two kinds of flow are similar in many ways but differ in one important respect.

### 1.1 Introduction

Open-channel flow must have a free surface, whereas pipe flow has none. A free surface is subject to atmospheric pressure. In Pipe flow there exist no direct atmospheric flow but hydraulic pressure only.



**Figure of pipe and open channel flow**

The two kinds of flow are compared in the figure above. On the left is pipe flow. Two piezometers are placed in the pipe at sections 1 and 2. The water levels in the pipes are maintained by the pressure in the pipe at elevations represented by the hydraulics grade line or hydraulic gradient.

The pressure exerted by the water in each section of the pipe is shown in the tube by the height  $y$  of a column of water above the centre line of the pipe.

The total energy of the flow of the section (with reference to a datum) is the sum of the elevation  $z$  of the pipe centre line, the piezometric head  $y$  and the velocity head  $V^2/2g$ , where  $V$  is the mean velocity. The energy is represented in the figure by what is known as the energy grade line or the energy gradient.

The loss of energy that results when water flows from section 1 to section 2 is represented by  $h_f$ .

A similar diagram for open channel flow is shown to the right. This is simplified by assuming parallel flow with a uniform velocity distribution and that the slope of the channel is small. In this case the hydraulic gradient is the water surface as the depth of water corresponds to the piezometric height.

Despite the similarity between the two kinds of flow, it is much more difficult to solve problems of flow in open channels than in pipes. Flow conditions in open channels are complicated by the position of the free surface which will change with time and space. And also, the discharge, and the slopes of the channel bottom and of the free surface are all inter dependent.

Physical conditions in open-channels vary much more than in pipes – the cross-section of pipes is usually round – but for open channel it can be any shape.

Treatment of roughness also poses a greater problem in open channels than in pipes. Although there may be a great range of roughness in a pipe from polished metal to highly corroded iron, open channels may be of polished metal to natural channels with long grass and roughness that may also depend on depth of flow.

Open channel flows are found in large and small scale. For example the flow depth can vary between a few cm in water treatment plants and over 10m in large rivers. The mean velocity of flow may range from less than 0.01 m/s in tranquil waters to above 50 m/s in high-head spillways. The range of total discharges may extend from 0.001 l/s in chemical plants to greater than 10000 m<sup>3</sup> /s in large rivers or spillways.

In each case the flow situation is characterised by the fact that there is a free surface whose position is NOT known beforehand – it is determined by applying momentum and continuity principles.

Open channel flow is driven by gravity rather than by pressure work as in pipes.

## 1.2 Differences between Pipe Flow and Open Channel Flow

	<b>Pipe flow</b>	<b>Open Channel flow</b>
Flow driven by	Pressure work	Gravity (potential energy)
Flow cross section	Known, fixed	Unknown in advance because the flow depth is unknown
Characteristics flow parameters	velocity deduced from continuity	Flow depth deduced simultaneously from solving both continuity and momentum equations
Specific boundary conditions		Atmospheric pressure at the free surface

## 1.3 Types of flow

The following classifications are made according to change in flow depth with respect to time and space.

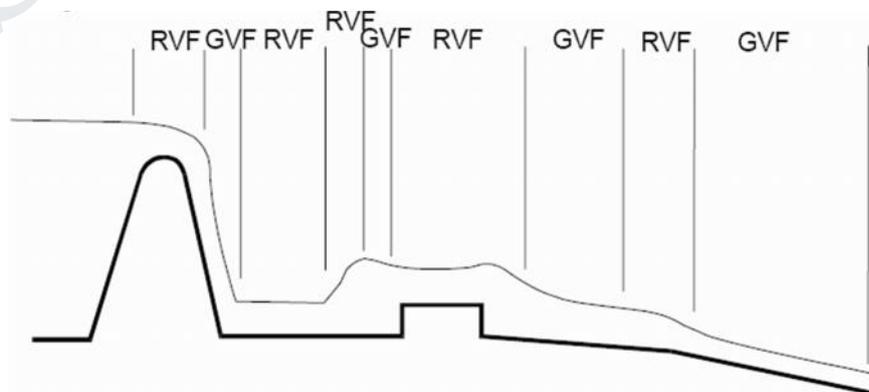


Figure of the types of flow that may occur in open channels

Flow is said to be steady if the depth of flow at a particular point does not change or can be considered constant for the time interval under consideration. The flow is unsteady if depth changes with time.

**Uniform Flow:** Space as the criterion.

Open Channel flow is said to be uniform if the depth and velocity of flow are the same at every section of the channel. Hence it follows that uniform flow can only occur in prismatic channels.

For steady uniform flow, depth and velocity is constant with both time and distance. This constitutes the fundamental type of flow in an open channel. It occurs when gravity forces are in equilibrium with resistance forces.

**Steady non-uniform flow.**

Depth varies with distance but not with time. This type of flow may be either (a) gradually varied or (b) rapidly varied. Type (a) requires the application of the energy and frictional resistance equations while type (b) requires the energy and momentum equations.

**Unsteady flow**

The depth varies with both time and space. This is the most common type of flow and requires the solution of the energy momentum and friction equations with time. In many practical cases the flow is sufficiently close to steady flow therefore it can be analysed as gradually varied steady flow.

## 1.4 Properties of open channels

### Artificial channels

These are channels made by man. They include irrigation canals, navigation canals, spillways, sewers, culverts and drainage ditches. They are usually constructed in a regular cross-section shape throughout – narrower along the channel.

In the field they are commonly constructed of concrete, steel or earth and have the surface roughness reasonably well defined (although this may change with age – particularly grass lined channels.) Analysis of flow in such well defined channels will give reasonably accurate results.

### Natural channels

Natural channels can be very different. They are not regular nor prismatic and their materials of construction can vary widely (although they are mainly of earth this can possess many different properties.) The surface roughness will often change with time distance and even elevation.

Consequently it becomes more difficult to accurately analyse and obtain satisfactory than is does for man made ones. The situation may be further

For analysis various geometric properties of the channel cross-sections are required. For artificial channels these can usually be defined using simple algebraic equations given  $y$  the depth of flow. The commonly needed geometric properties are shown in the figure below and defined as:

Depth( $y$ )—the vertical distance from the lowest point of the channel section to the free surface.

Stage ( $z$ ) – the vertical distance from the free surface to an arbitrary datum

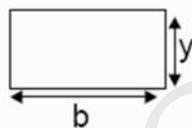
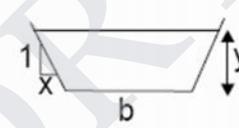
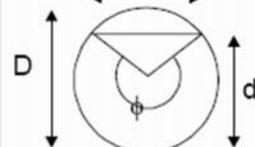
Area ( $A$ ) – the cross-sectional area of flow, normal to the direction of flow

Wetted perimeter ( $P$ ) – the length of the wetted surface measured normal to the direction of flow.

Surface width ( $B$ ) – width of the channel section at the free surface

Hydraulic radius ( $R$ ) – the ratio of area to wetted perimeter ( $A/P$ )

Hydraulic mean depth ( $D_m$ ) – the ratio of area to surface width ( $A/B$ )

	Rectangle	Trapezoid	Circle
			
Area, $A$	$by$	$(b+xy)y$	$\frac{1}{8}(\phi - \sin \phi)D^2$
Wetted perimeter $P$	$b + 2y$	$b + 2y\sqrt{1+x^2}$	$\frac{1}{2}\phi D$
Top width $B$	$b$	$b + 2xy$	$(\sin \phi/2)D$
Hydraulic radius $R$	$by/(b + 2y)$	$\frac{(b + xy)y}{b + 2y\sqrt{1+x^2}}$	$\frac{1}{4}\left(1 - \frac{\sin \phi}{\phi}\right)D$
Hydraulic mean depth $D_m$	$y$	$\frac{(b + xy)y}{b + 2xy}$	$\frac{1}{8}\left(\frac{\phi - \sin \phi}{\sin(1/2\phi)}\right)D$

### 1.5 Fundamental equations

The equations which describe the flow of fluid are derived from three fundamental laws of physics:

1. Conservation of matter (or mass)
2. Conservation of energy
3. Conservation of momentum

Although first developed for solid bodies they are equally applicable to fluids. Brief descriptions of the concepts are given below.

#### Conservation of matter

This says that matter can not be created nor destroyed, but it may be converted (e.g. by a chemical reaction). In fluid mechanics we do not consider chemical activity so the law reduces to one of conservation of mass.

This says that energy can not be created nor destroyed, but may be converted form one type to another (e.g. potential may be converted to kinetic energy). When engineers talk about energy "losses" they are referring to energy converted from mechanical (potential or kinetic) to some other form such as heat. A friction loss, for example, is a conversion of mechanical energy to heat. The basic equations can be obtained from the First Law of Thermodynamics but a simplified derivation will be given below.

**Conservation of momentum**

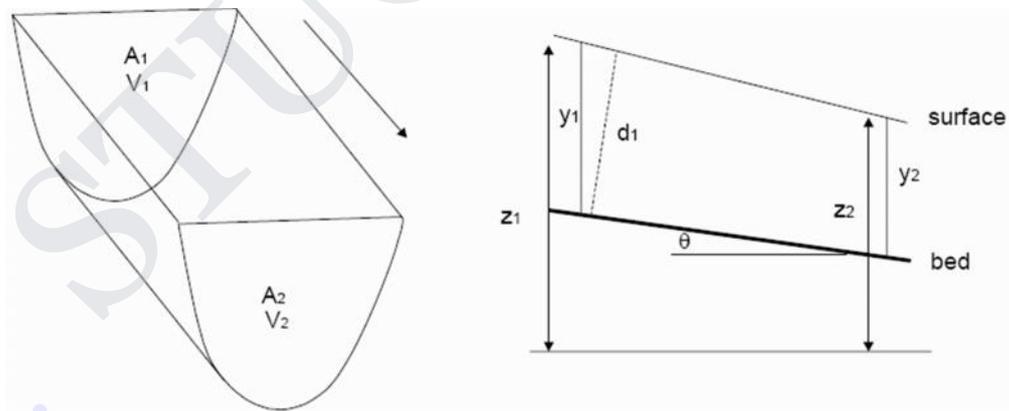
The law of conservation of momentum says that a moving body cannot gain or lose momentum unless acted upon by an external force. This is a statement of Newton's Second **Law of Motion:** Force = rate of change of momentum

In solid mechanics these laws may be applied to an object which is has a fixed shape and is clearly defined. In fluid mechanics the object is not clearly defined and as it may change shape constantly. To get over this we use the idea of control volumes. These are imaginary volumes of fluid within the body of the fluid. To derive the basic equation the above conservation laws are applied by considering the forces applied to the edges of a control volume within the fluid.

**The Continuity Equation (conservation of mass)**

For any control volume during the small time interval  $t$  the principle of conservation of mass implies that the mass of flow entering the control volume minus the mass of flow leaving the control volume equals the change of mass within the control volume. If the flow is steady and the fluid incompressible the mass entering is equal to the mass leaving, so there is no change of mass within the control volume.

So for the time interval  $t$  : Mass flow entering = mass flow leaving



**Figure of a small length of channel as a control volume**

Considering the control volume above which is a short length of open channel of arbitrary cross- Section then, if  $\rho$  is the fluid density and  $Q$  is the volume flow rate then section then, if mass flow rate is  $\rho Q$  and the continuity equation for steady incompressible flow can be written

$$\rho Q_{\text{entering}} = \rho Q_{\text{leaving}}$$

As,  $Q$ , the volume flow rate is the product of the area and the mean velocity then at the upstream face (face 1) where the mean velocity is  $u$  and the cross-sectional area is  $A_1$  then:

$$Q_{\text{entering}} = u_1 A_1$$

Similarly at the downstream face, face 2, where mean velocity is  $u_2$  and the cross-sectional area is  $A_2$  then:

$$Q_{\text{leaving}} = u_2 A_2$$

Therefore the continuity equation can be written as

$$u_1 A_1 = u_2 A_2$$

### The Energy equation (conservation of energy):

Consider the forms of energy available for the above control volume. If the fluid moves from the upstream face 1, to the downstream face 2 in time  $dt$  over the length  $L$ .

The work done in moving the fluid through face 1 during this time is

Where  $p_1$  is pressure at face 1      work done =  $p_1 A_1 L$

The mass entering through face 1 is

$$\text{mass entering} = \rho_1 A_1 L$$

Therefore the kinetic energy of the system is:

$$KE = \frac{1}{2} m u^2 = \frac{1}{2} \rho_1 A_1 L u_1^2$$

If  $z_1$  is the height of the centroid of face 1, then the potential energy of the fluid entering the control volume is :

$$PE = mgz = \rho_1 A_1 L g z_1$$

The total energy entering the control volume is the sum of the work done, the potential and the kinetic energy:

$$\text{Total energy} = p_1 A_1 L + \frac{1}{2} \rho_1 A_1 L u_1^2 + \rho_1 A_1 L g z_1$$

We can write this in terms of energy per unit weight. As the weight of water entering the control volume is  $\rho_1 A_1 L g$  then just divide by this to get the total energy per unit weight:

$$\text{Total energy per unit weight} = \frac{p_1}{\rho_1 g} + \frac{u_1^2}{2g} + z_1$$

At the exit to the control volume, face 2, similar considerations deduce

$$\text{Total energy per unit weight} = \frac{p_2}{\rho_2 g} + \frac{u_2^2}{2g} + z_2$$

... lied to the control volume from between the inlet and the outlet

$$\frac{p_1}{\rho g} + \frac{u_1^2}{2g} + z_1 = \frac{p_2}{\rho g} + \frac{u_2^2}{2g} + z_2 = H = \text{constant}$$

This is the Bernoulli equation.

**Note:**

1. In the derivation of the Bernoulli equation it was assumed that no energy is lost in the control volume - i.e. the fluid is frictionless. To apply to non frictionless situations some energy loss term must be included.
2. The dimensions of each term in equation 1.2 has the dimensions of length ( units of meters). For this reason each term is often regarded as a "head" and given the names

$$\begin{aligned} \frac{p}{\rho g} &= \text{pressure head} \\ \frac{u^2}{2g} &= \text{velocity head} \\ z &= \text{velocity or potential head} \end{aligned}$$

3. Although above we derived the Bernoulli equation between two sections it should strictly speaking be applied along a stream line as the velocity will differ from the top to the bottom of the section. However in engineering practise it is possible to apply the Bernoulli equation with out reference to the particular streamline

**The momentum equation (momentum principle)**

Again consider the control volume above during the time  $t$

$$\text{momentum entering} = \rho \delta Q_1 \delta t u_1$$

$$\text{momentum leaving} = \rho \delta Q_2 \delta t u_2$$

By the continuity principle :  $\delta Q_1 = \delta Q_2 = \delta Q$

And by Newton's second law Force = rate of change of momentum

$$\begin{aligned} \delta F &= \frac{\text{momentum leaving} - \text{momentum entering}}{\delta t} \\ &= \rho \delta Q (u_2 - u_1) \end{aligned}$$

It is more convenient to write the force on a control volume in each of the three, x, y and z direction e.g. in the x-direction

$$\delta F_x = \rho \delta Q (u_{2x} - u_{1x})$$

Integration over a volume gives the total force in the x-direction as

$$F_x = \rho Q (V_{2x} - V_{1x})$$

uniform over the whole cross-section.

This is the momentum equation for steady flow for a region of uniform velocity.

### Energy and Momentum coefficients

In deriving the above momentum and energy (Bernoulli) equations it was noted that the velocity must be constant (equal to  $V$ ) over the whole cross-section or constant along a stream-line.

Clearly this will not occur in practice. Fortunately both these equations may still be used even for situations of quite non-uniform velocity distribution over a section. This is possible by the introduction of coefficients of energy and momentum,  $\alpha$  and  $\beta$  respectively.

These are defined:

$$\alpha = \frac{\int \rho u^3 dA}{\rho V^3 A}$$

$$\beta = \frac{\int \rho u^2 dA}{\rho V^2 A}$$

where  $V$  is the mean velocity.

And the Bernoulli equation can be rewritten in terms of this mean velocity:

$$\frac{p}{\rho g} + \frac{\alpha V^2}{2g} + z = \text{constant}$$

And the momentum equation becomes:

$$F_x = \rho Q \beta (V_{2x} - V_{1x})$$

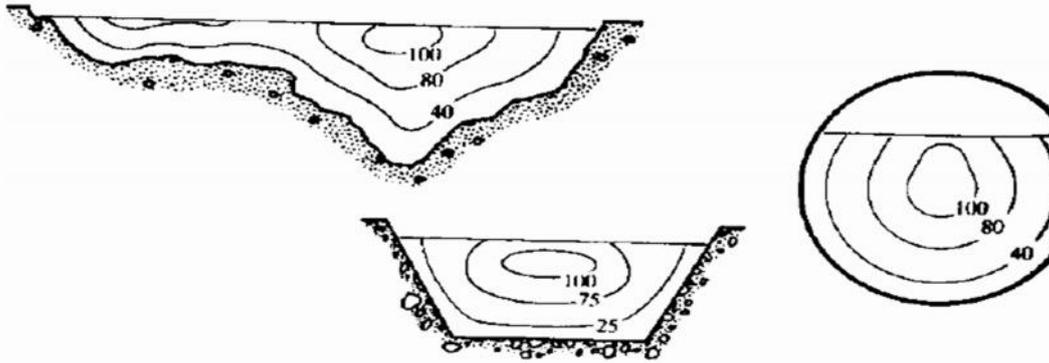
The values of  $\alpha$  and  $\beta$  must be derived from the velocity distributions across a cross-section. They will always be greater than 1, but only by a small amount consequently they can often be confidently omitted –

For turbulent flow in regular channel  $\alpha$  does not usually go above 1.15 and  $\beta$  will normally be below 1.05. We will see an example below where their inclusion is necessary to obtain accurate results.

### 1.6 Velocity distribution in open channels

The measured velocity in an open channel will always vary across the channel section because of friction along the boundary. Neither is this velocity distribution usually axisymmetric (as it is in pipe flow) due to the existence of the free surface. It might be expected to find the maximum velocity at the free surface where the shear force is zero but this is not the case. The maximum velocity is usually found just below the surface.

The explanation for this is the presence of secondary currents which are circulating from the boundaries towards the section centre and resistance at the air/water interface. These have been found in both laboratory measurements and 3d numerical simulation of turbulence.

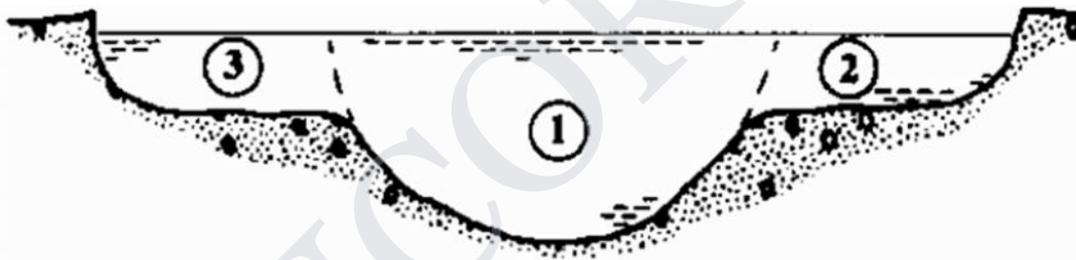


**Figure of velocity distributions**

### Determination of energy and momentum coefficients

To determine the values of  $\alpha$  and  $\beta$  the velocity distribution must have been measured (or be known in some way). In irregular channels where the flow may be divided into distinct regions  $\alpha$  may exceed 2 and should be included in the Bernoulli equation.

The figure below is a typical example of this situation. The channel may be of this shape when a river is in flood – this is known as a compound channel .



**Figure of a compound channel with three regions of flow**

If the channel is divided as shown into three regions and making the assumption that  $\alpha = 1$  for each then

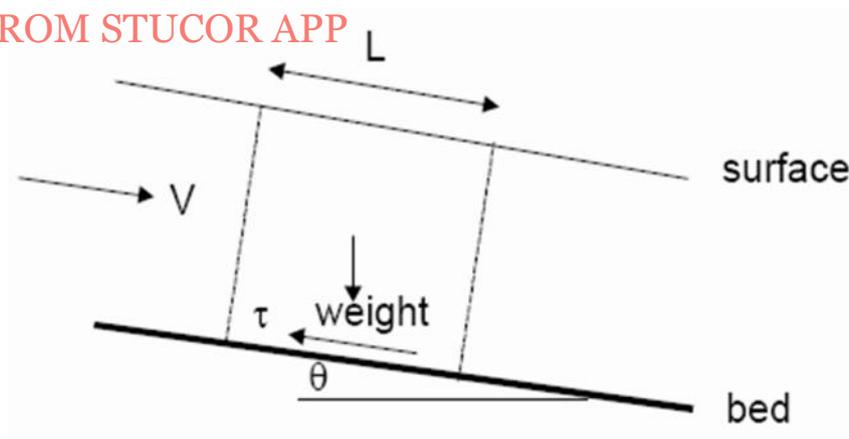
$$\alpha = \frac{\int u^3 dA}{\bar{V}^3 A} = \frac{V_1^3 A_1 + V_2^3 A_2 + V_3^3 A_3}{\bar{V}^3 (A_1 + A_2 + A_3)}$$

where

$$\bar{V} = \frac{Q}{A} = \frac{V_1 A_1 + V_2 A_2 + V_3 A_3}{A_1 + A_2 + A_3}$$

### 1.7 Steady Uniform flow

When uniform flow occurs gravitational forces exactly balance the frictional resistance force along the boundary (channel bed and walls)



**Figure of forces on a channel length in uniform flow**

Considering the above diagram, the gravity force resolved in the direction of flow is

$$\text{gravity force} = \rho g A L \sin \theta$$

and the boundary shear force resolved in the direction of flow is

$$\text{shear force} = \tau_o P L$$

In uniform flow these balance  $\tau_o P L = \rho g A L \sin \theta$

Considering a channel of small slope, (as channel slopes for uniform and gradually varied flow seldom exceed about 1 in 50) then

So  $\sin \theta \approx \tan \theta = S_o$

$$\tau_o = \frac{\rho g A S_o}{P} = \rho g R S_o$$

### 1.7.1 The Chezy equation

If an estimate of  $\tau_o$  can be made then we can make use of Equation.

If we assume the state of rough turbulent flow then we can also make the assumption the shear force is proportional to the flow velocity squared i.e.

$$\begin{aligned} \tau_o &\propto V^2 \\ \tau_o &= K V^2 \end{aligned}$$

Substituting this into equation gives

$$V = \sqrt{\frac{\rho g}{K} R S_o}$$

$$V = C\sqrt{RS_o}$$

This is the Chezy equation and the C the “Chezy C”

Because the K is not constant the C is not constant but depends on Reynolds number and boundary roughness (see discussion in previous section).

The relationship between C and  $f$  is easily seen by substituting equation 1.9 into the Darcy- Wiesbach equation written for open channels and is

$$C = \sqrt{\frac{2g}{f}}$$

### 1.7.2 The Manning equation

A very many studies have been made of the evaluation of C for different natural and manmade channels. These have resulted in today most practising engineers use some form of this relationship to give C:

$$C = \frac{R^{1/6}}{n}$$

This is known as Manning’s formula, and the  $n$  as Manning’s  $n$  . Substituting equation 1.9 in to 1.10 gives velocity of uniform flow:

Or in terms of discharge

$$V = \frac{R^{2/3} S_o^{1/2}}{n} \quad Q = \frac{1}{n} \frac{A^{5/3}}{P^{2/3}} S_o^{1/2}$$

#### Note:

Several other names have been associated with the derivation of this formula – or ones similar and consequently in some countries the same equation is named after one of these people. Some of these names are; Strickler, Gauckler, Kutter, Gauguillet and Hagen.

The Manning’s  $n$  is also numerically identical to the Kutter  $n$  .

The Manning equation has the great benefits that it is simple, accurate and now due to its long extensive practical use, there exists a wealth of publicly available values of  $n$  for a very wide range of channels.

Below is a table of a few typical values of Manning’s  $n$

Channel type	Surface material and form	Manning's $n$ range
River	earth, straight	0.02-0.025
	earth, meandering	0.03-0.05
	gravel (75-150mm), straight	0.03-0.04
	gravel (75-150mm), winding	0.04-0.08
unlined canal	earth, straight	0.018-0.025
	rock, straight	0.025-0.045
lined canal	concrete	0.012-0.017
lab. models	mortar	0.011-0.013
	Perspex	0.009

### Conveyance

Channel conveyance,  $K$ , is a measure of the carrying capacity of a channel. The  $K$  is really an agglomeration of several terms in the Chezy or Manning's equation:

$$Q = AC\sqrt{RS_0}$$

$$Q = KS_0^{1/2}$$

So

$$K = ACR^{1/2} = \frac{A^{5/3}}{nP^{2/3}}$$

Use of conveyance may be made when calculating discharge and stage in compound channels and also calculating the energy and momentum coefficients in this situation.

### 1.8 Best Hydraulic Cross- Section

We often want to know the the minimum area  $A$  for a given flow  $Q$ , slope  $S_0$  and roughness coef- ficient  $n$ .

This is known as the best hydraulic cross section

The quantity  $AR_h^{2/3}$  in Mannings' equation is called the *section factor*

Writing the Manning equation with  $R_h = A/P$ , we get

$$Q = \frac{k}{n} A \left(\frac{A}{P}\right)^{2/3} S_0^{1/2} = \frac{k}{n} \frac{A^{5/3} S_0^{1/2}}{P^{2/3}}$$

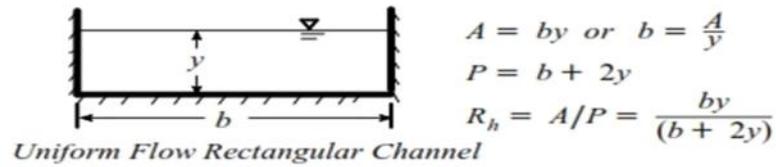
Rearranged we get

$$A = \left(\frac{nQ}{kS_0^{1/2}}\right)^{3/5} P^{2/5}$$

- Inside is a constant. Channel with minimum A is also minimum P
- Minimum excavation area A also has minimum P
- Best possible is semicircular channel, but construction costs are high

Let's find out what the best hydraulic cross section is for a rectangular channel

**Example:** Water flows uniformly in a rectangular channel of width  $b$  and depth  $y$ . Determine the *aspect ratio*  $b/y$  for the best hydraulic cross section.



Let  $A$  be constant and let's minimize  $P$ . So

$$P = b + 2y \quad \text{or} \quad P = \frac{A}{y} + 2y$$

Thus  $P$  varies only with  $y$  for a given  $A$ . Let's take  $dP/dy$  and set to zero to find minimum

$$\frac{dP}{dy} = -\frac{A}{y^2} + 2 = 0$$

so

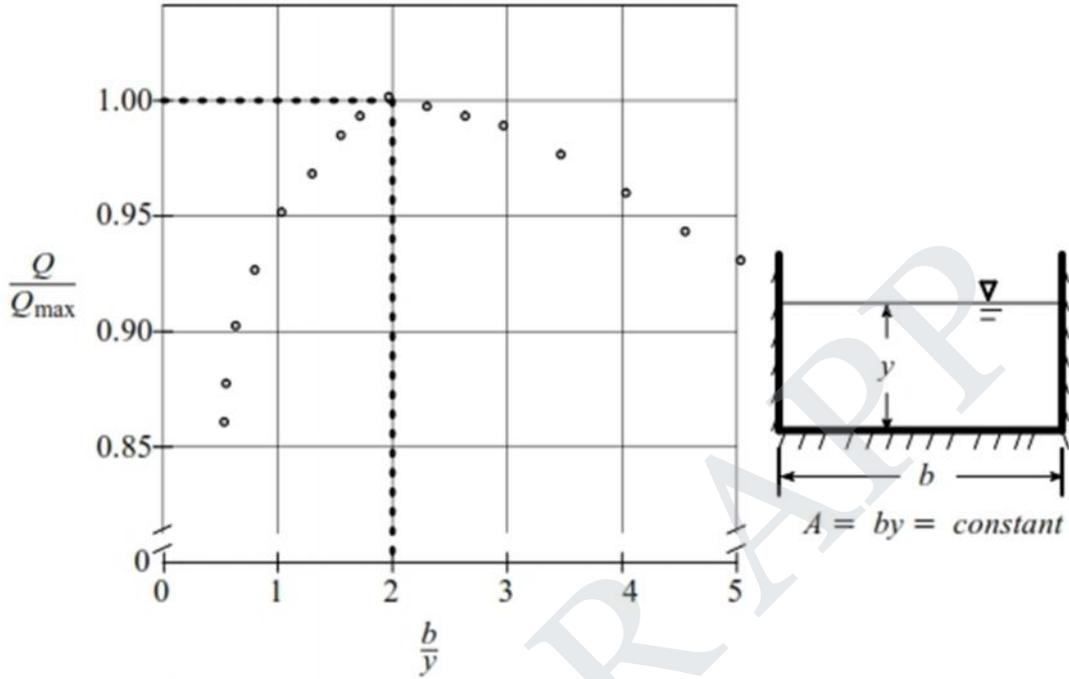
$$\frac{A}{y^2} = 2$$

But  $A = by$ , so

$$\frac{by}{y^2} = 2 \quad \text{or} \quad y = \frac{1}{2}b$$

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• Note for  $1 < b/y < 4$ ;  $Q \sim .96 Q_{max}$



Must include freeboard  $f$  in design between 5 to 30% of  $y_n$

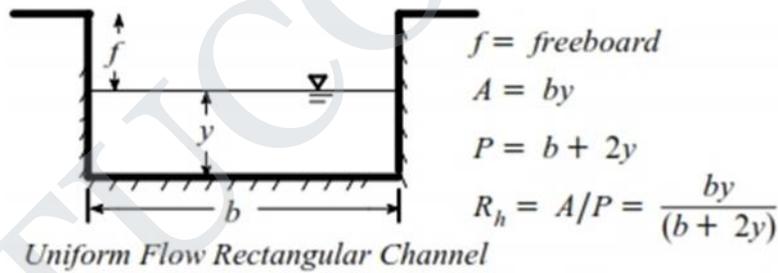


Table gives Optimum properties of Open Channel Sections

- For trapezoid, half-hexagon
- For circular section, half-circle
- For triangular section, half-square

### Design of Erodible Channels

Design velocity  $V$  small enough not to cause erosion

Find maximum permissible velocity based on channel material

Material	V(ft/s)	n
Fine Sand	1.50	0.020
Sandy loam	1.75	0.020
Silt loam	2.00	0.020
Firm loam	2.50	0.020
Stiff clay	3.75	0.025
Fine gravel	2.50	0.025
Coarse gravel	4.00	0.025

Assuming a trapezoidal channel, maximum side slopes depend on material (Roberson, Table 4-2)

Maximum Channel Wall Slopes for Different Materials	
Material	Side Slopes
Rock	Almost Vertical
Stiff clay or earth with concrete lining	1/2 : 1 to 1:1
Firm Soil	1:1
Loose sandy soil	2:1
Sandy loam soil	3:1

Once Q, V, n, S<sub>0</sub> are determined, solve for depth y and width b.

**Problem:** For an unlined trapezoidal irrigation canal in firm loam soil, slope is 0.0006 and flow is 100 cfs, what dimensions?

For side slope, pick slope of 1 1/2 (h): 1 (v) (conservative)

$$V_{\max} = 2.5 \text{ ft/s}, n = 0.020$$

To find R<sub>h</sub>

$$R_h = \left( \frac{nV}{1.49 S_0^{1/2}} \right)^{3/2} = \left( \frac{(0.020 \times 2.50)}{1.49 (0.0006)^{1/2}} \right)^{3/2} = 1.6 \text{ ft}$$

So for  $A = Q/V$

$$A = \frac{100}{2.5} = 40 \text{ ft}^2$$

And  $P = A/R_h$  so

$$P = \frac{40}{1.60} = 25 \text{ ft}$$

So if

$$A = by + 1.5y^2 \quad \text{and} \quad P = b + 3.61y$$

$$b = 18.1 \text{ ft} \quad \text{and} \quad y = 1.91 \text{ ft}$$

To construct choose  $b = 18 \text{ ft}$  and  $y = 2.0 \text{ ft}$ .

### Critical Slope

- Holding  $n$  and  $Q$  constant, changing slope will change depth and velocity
- Where velocity and depth give a Froude number =1, this is defined as the critical slope  $S_c$  and critical depth  $y_c$

### 1.9 Computations in Uniform Flow

We can use Manning's formula for discharge to calculate steady uniform flow. Two calculations are usually performed to solve uniform flow problems.

1. Discharge from a given depth
2. Depth for a given discharge

In steady uniform flow the flow depth is known as normal depth.

As we have already mentioned, and by definition, uniform flow can only occur in channels of constant cross-section (prismatic channels) so natural channel can be excluded. However we will need to use Manning's equation for gradually varied flow in natural channels - so application to natural/irregular channels will often be required.

### Uniform flow Problem 1 - Discharge from depth in a trapezoidal channel

A concrete lined trapezoidal channel with uniform flow has a normal depth is 2m. The base and sides are equal at 1:2

Manning's  $n$  can be taken as 0.015 and the bed slope  $S_0 = 0.001$

$$A = (5 + 2y)y = 18m^2$$

$$P = 5 + 2y\sqrt{1 + 2^2} = 13.94m$$

Use equation to get the discharge

$$Q = \frac{1}{n} \frac{A^{5/3}}{P^{2/3}} S_o^{1/2} = \frac{1}{0.015} \frac{18^{5/3}}{13.94^{2/3}} 0.001^{1/2}$$

$$= 45m^3 / s$$

The simplest way to calculate the mean velocity is to use the continuity equation:

$$V = \frac{Q}{A} = \frac{45}{18} = 2.5m / s$$

And the Reynolds number ( $R=A/P$ )

$$Re_{channel} = \frac{\rho u R}{\mu} = \frac{\rho u A}{\mu P} = \frac{10^3 \times 2.5 \times 18}{1.14 \times 10^{-3} \times 13.94} = 2.83 \times 10^6$$

This is very large - i.e. well into the turbulent zone - the application of the Manning's equation was therefore valid.

What solution would we have obtained if we had used the Colebrook-White equation?

Probably very similar as we are well into the rough-turbulent zone where both equations are truly applicable.

To experiment an equivalent  $k_s$  value can be calculated for the discharge calculated from  $n = 0.015$  and  $y = 2m$  [ $k_s = 2.225mm$ ] (Use the Colebrook-White equation and the Darcy-Wiesbach equation of open channels - both given earlier). Then a range of depths can be chosen and the discharges calculated for these  $n$  and  $k_s$  values. Comparing these discharge calculations will give some idea of the relative differences - they will be very similar.

### Uniform flow Problem 2 - Depth from Discharge in a trapezoidal channel

Using the same channel as above, if the discharge is know to be  $30m^3 / s$  in uniform flow, what is the normal depth?

Again use equation

$$A = (5 + 2y)y = 18m^2$$

$$P = 5 + 2y\sqrt{1 + 2^2} = 13.94m$$

$$Q = \frac{1}{0.015} \frac{((5+2y)y)^{5/3}}{(5+2y\sqrt{1+2^2})^{2/3}} 0.001^{1/2}$$

$$30 = 2.108 \frac{((5+2y)y)^{5/3}}{(5+2y\sqrt{1+2^2})^{2/3}}$$

We need to calculate  $y$  from this equation.

Even for this quite simple geometry the equation we need to solve for normal depth is complex. One simple strategy to solve this is to select some appropriate values of  $y$  and calculate the right hand side of this equation and compare it to  $Q$  ( $=30$ ) in the left. When it equals  $Q$  we have the correct  $y$ .

Even though there will be several solutions to this equation, this strategy generally works because we have a good idea of what the depth should be (e.g. it will always be positive and often in the range of 0.5-10 m). In this case from the previous example we know that at  $Q = 45 \text{ m}^3/\text{s}$ ,  $y = 2 \text{ m}$ . So at  $Q = 30 \text{ m}^3/\text{s}$  then  $y < 2.0 \text{ m}$ .

Gussed $y$ (m)	Discharge $Q$ ( $\text{m}^3/\text{s}$ )
1.7	32.7
1.6	29.1
1.63	30.1

We might also use the bisector method to solve this.

### Uniform flow Problem 3 - A compound channel

If the channel in the above example were to be designed for flooding it may have a section like this:

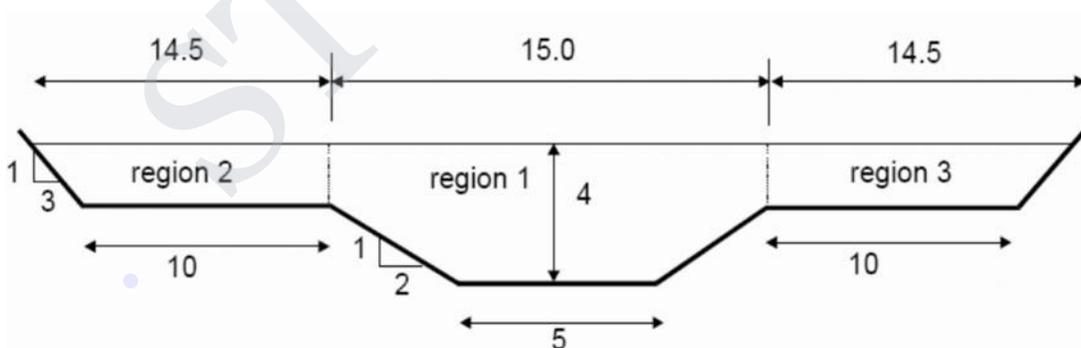


Figure of compound section

When the flow goes over the top of the trapezoidal channel it moves to the "flood plains" so the section allows for a lot more discharge to be carried.

Calculate the properties of each region:

$$A_1 = \left( \frac{5+15}{2} \right) 2.5 + (15 \times 1.5) = 47.5 m^2$$

$$A_2 = A_3 = \left( \frac{10+14.5}{2} \right) 1.5 = 18.38 m^2$$

$$P_1 = 5 + (2\sqrt{5} \times 2.5) = 16.18 m$$

$$P_2 = P_3 = 10 + (1.5\sqrt{10}) = 14.75 m$$

The conveyance for each region may be calculated from equation.

$$K_1 = \frac{47.5^{5/3}}{0.015 \times 16.18^{2/3}} = 6492.5$$

$$K_2 = K_3 = \frac{18.38^{5/3}}{0.035 \times 14.74^{2/3}} = 608.4$$

And from Equations the discharges

$$Q_1 = \frac{1}{0.015} \frac{47.5^{5/3}}{16.18^{2/3}} 0.001^{1/2}$$

or

$$Q_1 = K_1 0.001^{1/2} = 205.3 m^3 / s$$

And

$$Q_2 = Q_3 = \frac{1}{0.035} \frac{18.38^{5/3}}{14.74^{2/3}} 0.001^{1/2}$$

or

$$Q_2 = Q_3 = K_2 0.001^{1/2} = 19.2 m^2 / s$$

So

$$Q = Q_1 + Q_2 + Q_3 = 243.7 m^3 / s$$

The velocities can be obtained from the continuity equation:

$$V_1 = \frac{Q_1}{A_1} = 4.32 m / s$$

$$V_2 = V_3 = \frac{Q_2}{A_2} = 1.04 m / s$$

$$\alpha = \frac{\int u^3 dA}{\bar{V}^3 A} = \frac{V_1^3 A_1 + V_2^3 A_2 + V_3^3 A_3}{\bar{V}^3 (A_1 + A_2 + A_3)}$$

where

$$\bar{V} = \frac{Q}{A} = \frac{V_1 A_1 + V_2 A_2 + V_3 A_3}{A_1 + A_2 + A_3}$$

Giving

$$\alpha = 1.9$$

This is a very high value of  $\alpha$  and a clear case of where a velocity coefficient should be used.

Not that this method does not give completely accurate relationship between stage and discharge because some of the assumptions are not accurate. E.g. the arbitrarily splitting into regions of fixed Manning  $n$  is probably not what is occurring in the actual channel. However it will give an acceptable estimate as long as care is taken in choosing these regions.

### 1.10 Specific Energy

It is defined as energy per unit weight of the liquid with respect to the bottom of the channel. The extra information needed to solve the above problem can be provided by the specific energy equation. Specific energy,  $E_s$ , is defined as the energy of the flow with reference to the channel bed as the datum:

Total Energy on open channel flow

$$E = Z + y + \frac{V^2}{2g}$$

Considering the channel bed as datum line,  $z=0$

$$\text{Specific Energy} = \frac{y^3}{2g}$$

From Specific Energy curve, Corresponding to the Minimum specific energy  $E(\min)$ , there is only one depth of flow that is called Critical depth.

specific energy is very useful. In computing the total energy of flow, if the bed of the channel is taken as Datum, then the total energy of flow per unit weight will be the sum of the pressure head and velocity head. This sum is known as specific energy and is represented as

$$E = y + \frac{V^2}{2g}$$

for uniform flow  $E$  is constant

for varied flow  $E$  may decrease or increase. If bed slope  $i$  and energy correction factor  $\alpha$  are to be included then equation (1.7) becomes

$$E = y \cos i + \alpha \frac{V^2}{2g}$$

for constant discharge through an open channel

$$E = y + \frac{Q^2}{2g A^2}$$

$E = f(y, Q)$  and when  $Q$  becomes constant,

$E = f(y)$  is represented by a cubic parabola as shown in fig.

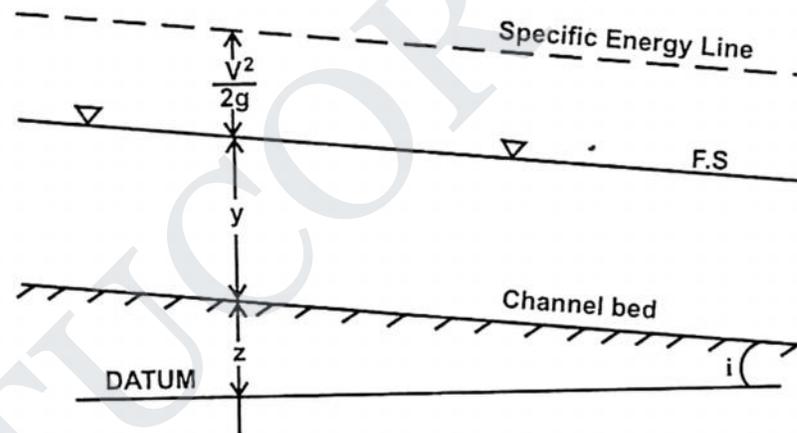
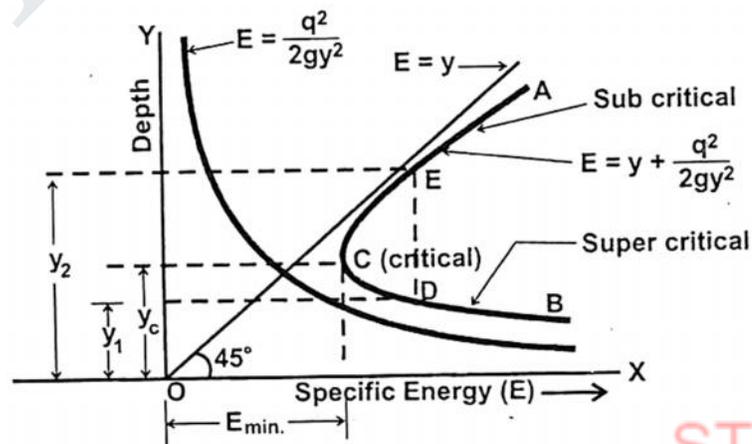


Fig. 1.7



From equation (1.7) the specific energy of flow is

$$E = y + \frac{V^2}{2g} = E_{p_0.E} + E_{K.E}$$

where  $E_{p_0.E}$  = Pressure energy = depth of flow =  $Y$

and  $E_{K.E}$  = Kinetic energy of flow =  $\frac{V^2}{2g}$ .

Let a steady but non-uniform flow take place in a rectangular channel.

For steady flow this can be written in terms of discharge  $Q$

$$E_s = y + \frac{\alpha(Q/A)^2}{2g}$$

For a rectangular channel of width  $b$ ,  $Q/A = q/y$

$$E_s = y + \frac{\alpha q^2}{2gy^2}$$

$$(E_s - y)y^2 = \frac{\alpha q^2}{2g} = \text{constant}$$

$$(E_s - y) = \frac{\text{constant}}{y^2}$$

This is a cubic in  $y$ . It has three solutions but only two will be positive (so discard the other).

$y$  be the depth of flow

$Q$  be the discharge rate through the channel

$q$  be the discharge per unit width  $= \frac{Q}{b}$

$$\text{Velocity of flow } V = \frac{Q}{A} = \frac{Q}{b \times y} = \frac{q}{y}$$

Substituting in equation (1.7) we have

$$E = y + \frac{q^2}{2g y^2} = E_{PE} + E_{KE}$$

When a graph between specific energy in X-axis and  $y$  along Y-axis is plotted equation (1.10) is obtained in graphical form for various depth of flow ( $y$ ). For any discharge with different depth of flow the corresponding value can be obtained from the plot.

## 2. Plotting Specific Energy Curve:

**Step 1:** First  $E_{P,E} = y$  is drawn, which is a straight line inclined at an angle of  $45^\circ$  to x-axis.

**Step 2:** Draw another curve  $E_{KE} = \frac{q^2}{2g y^2}$  which is a parabola is drawn.

**Step 3:** Combining them, specific energy curve ACB is obtained.

## 1.11 Critical Flow and Critical Velocity

### Critical Flow

Depth of flow of water at which the specific energy,  $E$  is minimum is called as critical depth ( $y_c$ ).

For rectangular channel, critical depth,

$$h_c = \left( \frac{q^2}{g} \right)^{\frac{1}{3}}$$

### Critical Velocity

Velocity of flow at the critical depth is called critical velocity  $V_c$

$$V_c = \sqrt{g y_c}$$

Where

$y_c$  = Critical Depth

$g$  = Acceleration due to gravity

## UNIT 2 GRADUALLY VARIED FLOW

**2.1 Varied Flow**

Flow properties, such as depth of flow area of cross section and velocity of flow vary with respect to distance is called Non-uniform flow.

It is, otherwise, called as varied flow. The varied flow is broadly classified into two types:

- 1) Rapidly varied flow (R.V.F)
- 2) Gradually varied flow (G.V.F)

If the depth of flow changes quickly over a small length of the channel, the flow is said to be gradually varied flow (GVF). Example: Back water in a dam.

The following assumptions are made for analyzing the gradually varied flow:

1. The flow is steady
2. The pressure distribution over the channel section is hydrostatic, i.e., streamlines are practically straight and parallel.
3. The head loss is same as for uniform flow.
4. The channel slope is small, so that the depth measured vertically is the same as depth measured normal to the channel bottom.
5. A channel is prismatic.
6. Kinetic energy correction factor is very close to unity.
7. Roughness coefficient is constant along the channel length
8. The formulae, such as Chezy's formula, Manning's formula which are applicable, to the uniform flow are also applicable for the gradually varied flow for determining slope of energy line.

**2.2 Gradually varied flow**

In the previous section of rapidly varied flow little mention was made of losses due to friction or the influence of the bed slope. It was assumed that frictional losses were insignificant – this is reasonable because rapidly varied flow occurs over a very short distance. However when it comes to long distances they become very important, and as gradually varied flow occurs over long distances we will consider friction losses here.

In the section on specific energy it was noted that there are two depth possible in steady flow for a given discharge at any point in the channel. (One is super-critical the other depth sub-critical.) The solution of the Manning equation results in only one depth – the normal depth.

It is the inclusion of the channel slope and friction that allow us to decide which of the two depths is correct. i.e. the channel slope and friction determine whether the uniform flow in the channel is sub or super-critical.

The procedure is

- i. Calculate the normal depth from Manning's equation
- ii. Calculate the critical depth from equation

The normal depth may be greater, less than or equal to the critical depth.

For a given channel and roughness there is only one slope that will give the normal depth equal to the critical depth. This slope is known as the critical slope ( $S_c$ ).

If the slope is less than  $S_c$  the normal depth will be greater than critical depth and the flow will be sub-critical flow. The slope is termed mild.

If the slope is greater than  $S_c$  the normal depth will be less than critical depth and the flow will be super-critical flow. The slope is termed steep.

### Problem of critical slope calculation

We have Equation that gives normal depth and equation that given critical depth Rearranging these in terms of  $Q$  and equating gives

$$Q = \frac{1}{n} \frac{A^{5/3}}{P^{2/3}} S_o^{1/2}$$

$$\frac{\alpha Q^2 B_c}{2gA_c^3} = 1$$

$$\frac{1}{n} \frac{A^{5/3}}{P^{2/3}} S_c^{1/2} = \sqrt{\frac{gA^3}{B}}$$

For the simple case of a wide rectangular channel, width  $B = b$ ,  $A = by$  and  $P = b$ . And the above equation becomes

$$S_c = \frac{gn^2}{y_c^{1/3}}$$

### 2.3 Transitions between sub and super critical flow

If sub critical flow exists in a channel of a mild slope and this channel meets with a steep channel in which the normal depth is super-critical there must be some change of surface level between the two. In this situation the surface changes gradually between the two. The flow in the joining region is known as gradually varied flow.

This situation can be clearly seen in the figure on the left below. Note how at the point of joining of the two channels the depth passes through the critical depth.

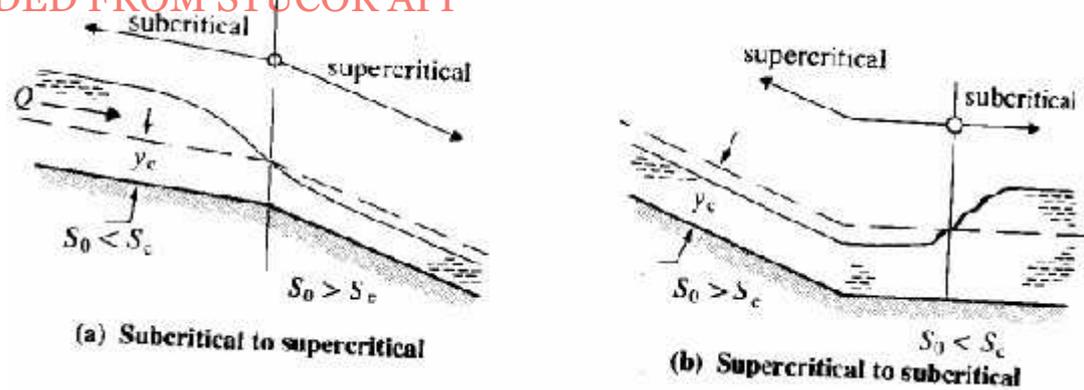


Figure of transition from sup to super-critical flow

If the situation is reversed and the upstream slope is steep, super critical flow, and the down stream mild, sub-critical, then there must occur a hydraulic jump to join the two. There may occur a short length of gradually varied flow between the channel junction and the jump. The figure above right shows this situation:

Analysis of gradually varied flow can identify the type of profile for the transition as well as the position hydraulic jumps. The equations of gradually varied flow. The basic assumption in the derivation of this equation is that the change in energy with distance is equal to the friction losses.

$$\frac{dH}{dx} = -S_f$$

The Bernoulli equation is:

$$y + \frac{\alpha V^2}{2g} + z = H$$

Differentiating and equating to the friction slope

$$\frac{d}{dx} \left( y + \frac{\alpha V^2}{2g} \right) = -\frac{dz}{dx} - S_f$$

Or

$$\frac{dE_s}{dx} = S_o - S_f$$

where  $S_o$  is the bed slope. We saw earlier how specific energy change with depth

$$\frac{dE_s}{dy} = 1 + \frac{Q^2 B_c}{g A_c^3} = 1 + Fr^2$$

Combining this with equation gives

$$\frac{dy}{dx} = \frac{S_o - S_f}{1 - Fr^2}$$

This is the basic equation of gradually varied flow. It describes how the depth,  $y$ , changes with distance  $x$ , in terms of the bed slope  $S_o$ , friction  $S_f$  and the discharge,  $Q$ , and channels shape (encompassed in  $Fr$  and  $S_f$ ).

Equations 1.25 and 1.26 are differential equations equating relating depth to distance. There is no explicit solution (except for a few special cases in prismatic channels). Numerical integration is the only practical method of solution. This is normally done on computers, however it is not too cumbersome to be done by hand.

## 2.4 Profile Classifications

Before attempting to solve the gradually varied flow equation a great deal of insight into the type of solutions and profiles possible can be gained by taking some time to examine the equation.

Time spent over this is almost compulsory if you are to understand steady flow in open channels. For a given discharge,  $S_f$  and  $Fr^2$  are functions of depth.

$$S_f = \frac{n^2 Q^2 P^{4/3}}{A^{10/3}}$$

$$Fr^2 = \frac{Q^2 B}{gA^3}$$

A quick examination of these two expressions shows that they both increase with  $A$ , i.e. increase with  $y$ . We also know that when we have uniform flow

$$S_f = S_o \quad \text{and} \quad y = y_n$$

So

$$S_f > S_o \quad \text{when} \quad y < y_n$$

$$S_f < S_o \quad \text{when} \quad y > y_n$$

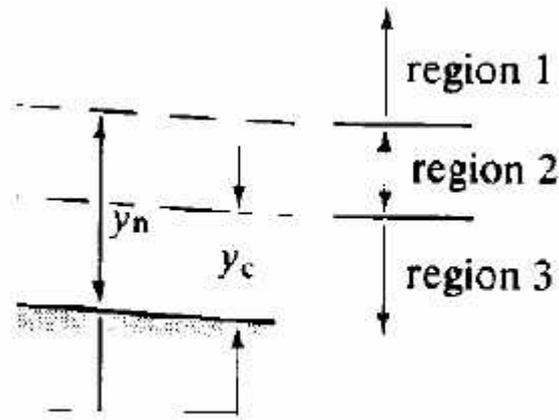
and

$$Fr^2 > 1 \quad \text{when} \quad y < y_c$$

$$Fr^2 < 1 \quad \text{when} \quad y > y_c$$

From these inequalities we can see how the sign of  $dy/dx$  i.e. the surface slope changes for different slopes and Froude numbers.

Taking the example of a mild slope, shown in the figure below:



**Figure of zones / regions**

The normal and critical depths are shown (as it is mild normal depth is greater than critical depth). Treating the flow as to be in three zones:

- i. zone 1, above the normal depth
- ii. zone 2, between normal and critical depth
- iii. zone 3, below critical depth

The direction of the surface inclination may thus be determined.

**zone 1**

$$y > y_n > y_c \quad S_f < S_o \quad Fr^2 < 1 \quad \rightarrow \quad dy/dx \text{ is positive, surface rising}$$

**zone 2**

$$y_n > y > y_c \quad S_f > S_o \quad Fr^2 < 1 \quad \rightarrow \quad dy/dx \text{ is negative surface falling}$$

**zone 3**

$$y_n > y_c > y \quad S_f > S_o \quad Fr^2 > 1 \quad \rightarrow \quad dy/dx \text{ is positive surface rising}$$

The condition at the boundary of the gradually varied flow may also be determined in a similar manner:

**zone 1**

As  $y \rightarrow Q$  then  $S_f$  and  $Fr \rightarrow 0$  and  $dy/dx \rightarrow S_o$

surface is asymptotic to a horizontal line for its maximum

Hence the water surface is asymptotic to the line  $y = y_n$  i.e. uniform flow.

### zone 2

As for zone 1 as  $y$  approached the normal depth: As  $y \rightarrow y_n$  then  $S_f \rightarrow S_o$  and  $dy/dx \rightarrow 0$

Hence the water surface is asymptotic to the line  $y = y_n$

But a problem occurs when  $y$  approaches the critical depth: As  $y \rightarrow y_c$  then  $Fr \rightarrow 1$  and  $dy/dx \rightarrow \infty$

This is physically impossible but may be explained by the pointing out that in this region the gradually varied flow equation is not applicable because at this point the fluid is in the rapidly varied flow regime.

In reality a very steep surface will occur.

### zone 3

As for zone 2 a problem occurs when  $y$  approaches the critical depth: As  $y \rightarrow y_c$  then  $Fr \rightarrow 1$  and  $dy/dx \rightarrow \infty$

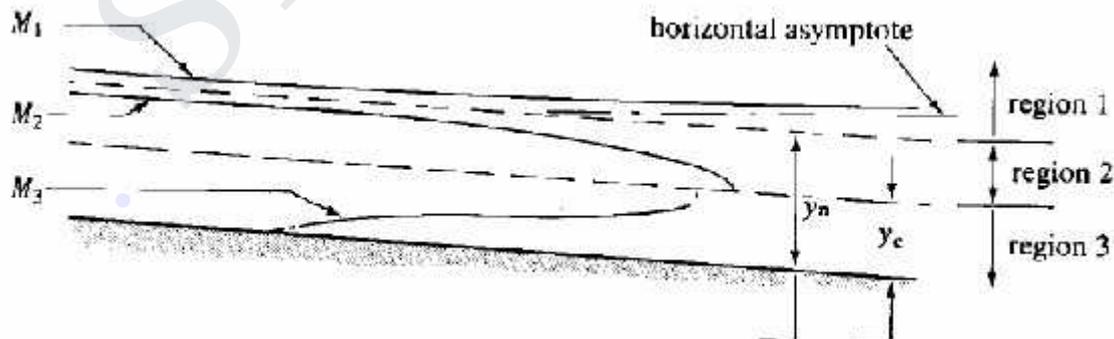
Again we have the same physical impossibility with the same explanation. And again in reality a very steep surface will occur.

As  $y \rightarrow 0$  then  $dy/dx \rightarrow S_o$  the slope of bed of the channel !

The gradually varied flow equation is not valid here but it is clear what occurs.

In general, normal depth is approached asymptotically and critical depth at right angles to the channel bed.

The possible surface profiles within each zone can be drawn from the above considerations. These are shown for the mild sloped channel below.



**Figure of gradually varied flow surface profiles in a mild sloped channel**

The surface profile in zone 1 of a mild slope is called an M1 curve, in zone 2 an M2 curve and in zone 3 an M3 curve.

All the possible surface profiles for all possible slopes of channel (there are 15 possibilities) are shown in the figure.

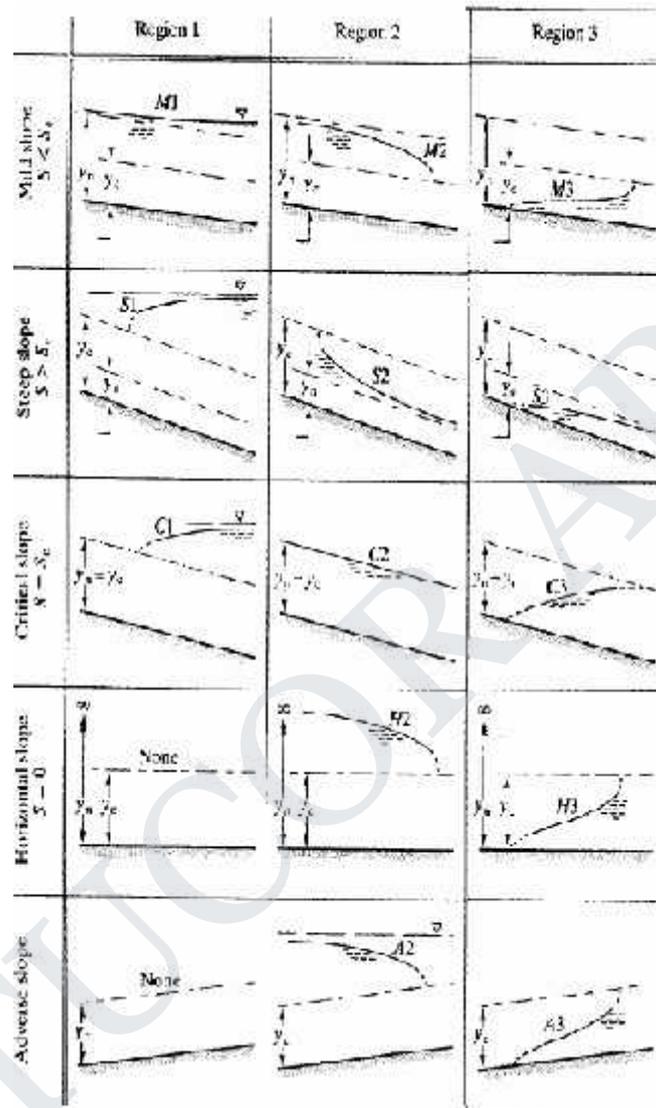


Figure of the possible gradually varied flow profiles

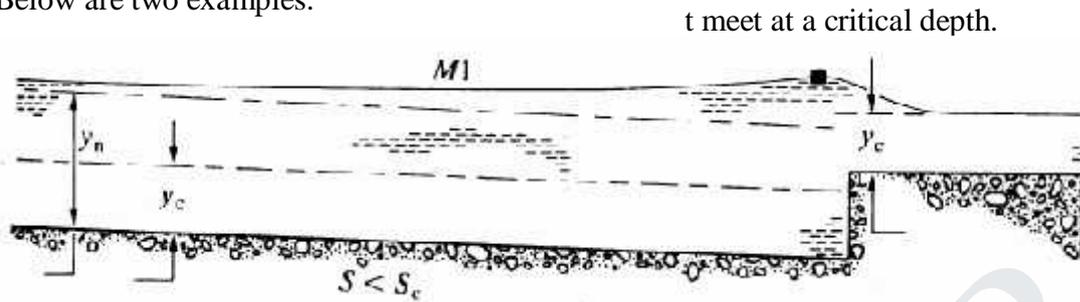
## 2.5 Surface Profiles Determination

Before one of the profiles discussed above can be decided upon two things must be determined for the channel and flow:

- Whether the slope is mild, critical or steep. The normal and critical depths must be calculated for the design discharge
- The positions of any control points must be established. Control points are points of known depth or relationship between depth and discharge. Example are weirs, flumes, gates or points where it is known critical flow occurs like at free outfalls, or that the flow is normal depth at some far distance down stream.

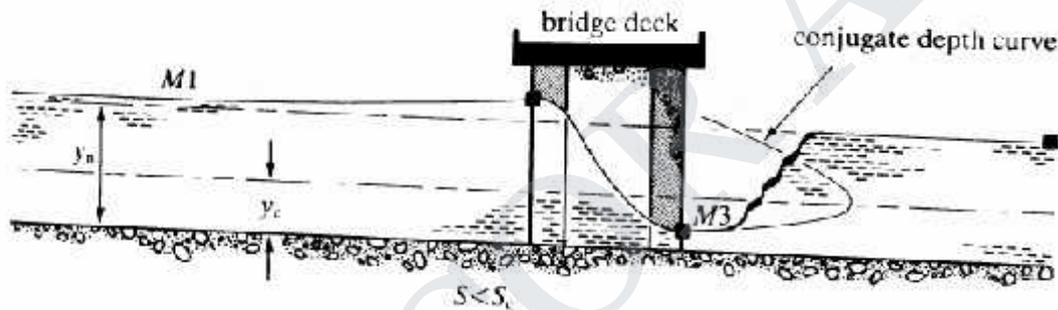
Once these control points and depth position has been established the surface profiles can be drawn to join the control points with the insertion of hydraulic jumps where it is necessary to join sub and super critical flows that don't

Below are two examples.



**Figure of example surface profile due to a broad crested weir**

This shows the control point just upstream of a broad crested weir in a channel of mild slope. The resulting curve is an M1.



**Figure of example surface profile through a bridge when in flow**

This shows how a bridge may act as a control – particularly under flood conditions. Upstream there is an M1 curve then flow through the bridge is rapidly varied and the depth drops below critical depth so on exit is super critical so a short M3 curve occurs before a hydraulic jump takes the depth back to a sub-critical level. Method of solution of the gradually varied flow equation.

There are three forms of the gradually varied flow equation:

$$\frac{dH}{dx} = -S_f$$

Equation 1.26

$$\frac{dE_z}{dx} = S_o - S_f$$

Equation 1.27

$$\frac{dy}{dx} = \frac{S_o - S_f}{1 - Fr^2}$$

Equation 1.28

In the past direct and graphical solution methods have been used to solve these, however these method have been superseded by numerical methods which are now be the only method used.

### Profile Determination by Numerical method

All (15) of the gradually varied flow profiles shown above may be quickly solved by simple numerical techniques. One computer program can be written to solve most situations.

There are two basic numerical methods that can be used

- i. Direct step – distance from depth
- ii. Standard step method – depth from distance

#### 2.5.1 The direct step method – distance from depth

This method will calculate (by integrating the gradually varied flow equation) a distance for a given change in surface height.

The equation used is 1.28, which written in finite difference form is

$$\Delta x = \Delta y \left( \frac{1 - Fr^2}{S_o - S_f} \right)_{\text{mean}}$$

The steps in solution are:

1. Determine the control depth as the starting point
2. Decide on the expected curve and depth change if possible
3. Choose a suitable depth step  $\Delta y$
4. Calculate the term in brackets at the “mean” depth ( $y_{\text{initial}} + \Delta y/2$ )
5. Calculate  $\Delta x$
6. Repeat 4 and 5 until the appropriate distance / depth changed reached

#### 2.5.2 The standard step method – depth from distance

This method will calculate (by integrating the gradually varied flow equation) a depth at a given distance up or downstream.

The equation used is 1.27, which written in finite difference form is

$$\Delta E_s = \Delta x (S_o - S_f)_{\text{mean}}$$

The steps in solution are similar to the direct step method shown above but for each  $\Delta x$  there is the following iterative step:

1. Assume a value of depth  $y$  (the control depth or the last solution depth)
2. Calculate the specific energy  $E_s G$
3. Calculate  $S_f$
4. Calculate  $E_s$  using equation 1.30
5. Calculate  $E_s(x + \Delta x) = E_s + \Delta E_s$
6. Repeat until  $E_s(x + \Delta x) = E_s G$

### The Standard step method – alternative form

This method will again calculate a depth at a given distance up or downstream but this time the equation used is 1.26, which written in finite difference form is

$$\Delta H = -\Delta x (S_f)_{\text{mean}}$$

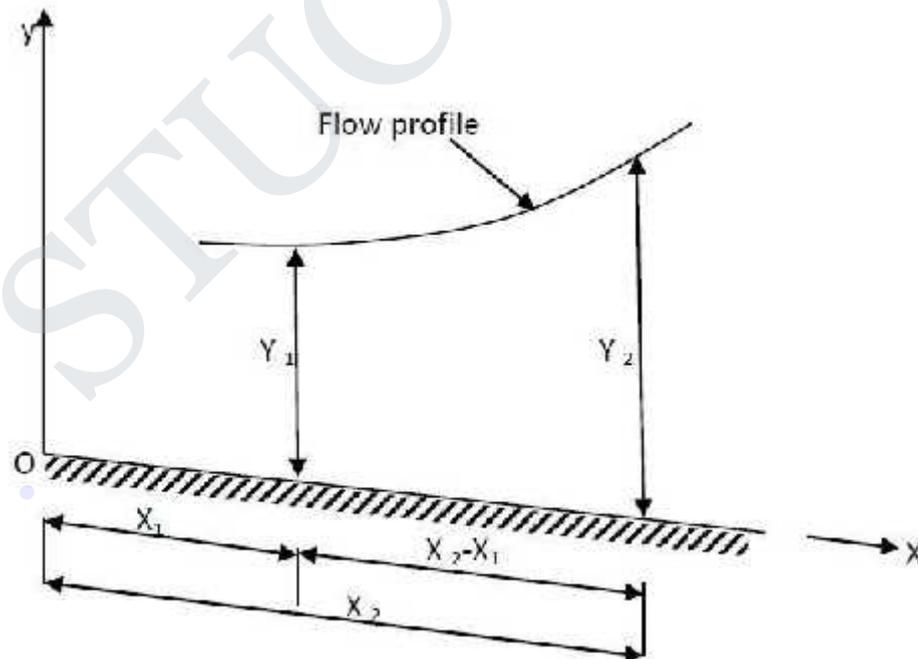
Where  $H$  is given by equation

$$y + \frac{\alpha V^2}{2g} + z = H$$

The strategy is the same as the first standard step method, with the same necessity to iterate for each step.

### 2.5.3 Graphical Integration Method

This is a simple and straight forward method and is applicable to both prismatic and non prismatic channels of any shape and slope.

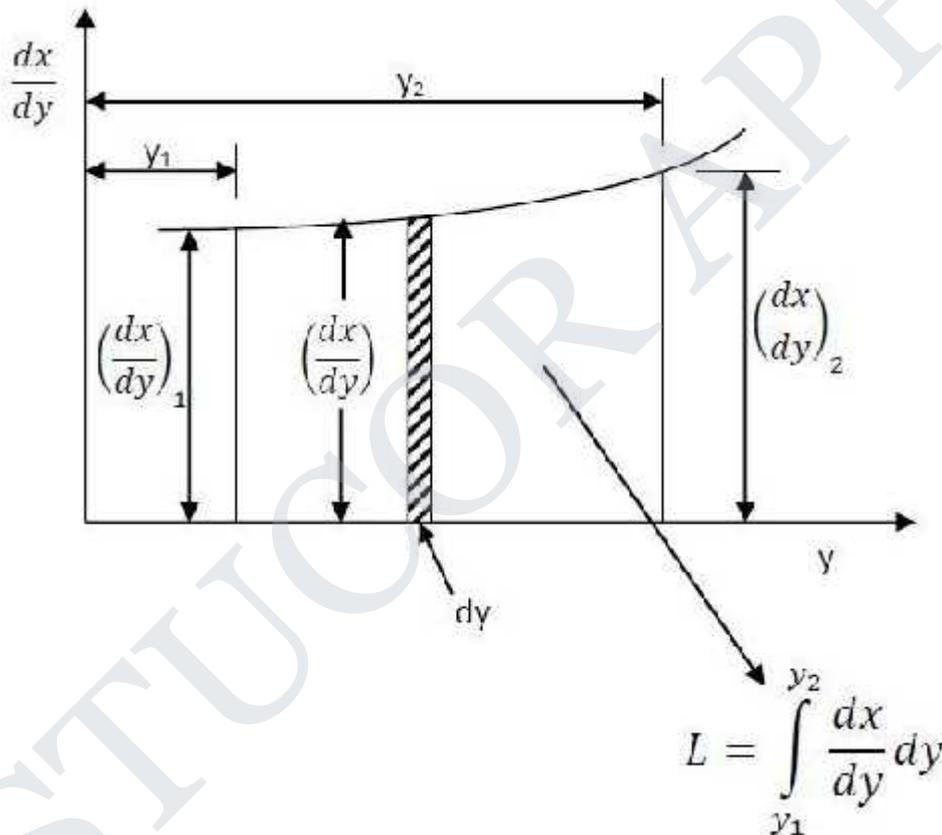


Consider two channel sections at distances  $x_1$  and  $x_2$  from a chosen reference  $O$  as shown in figure above. The depths of flow are  $y_1$  and  $y_2$  respectively; Let,  $L = x_2 - x_1$ , we have

$$L = x_2 - x_1 = \int_{x_1}^{x_2} dx = \int_{y_1}^{y_2} \frac{dx}{dy} dy \text{ on simplifying}$$

$$\frac{dx}{dy} = L = \int_{y_1}^{y_2} \left\{ \frac{1 - \left(\frac{z_c}{z}\right)^2}{S_0 \left\{ 1 - \left(\frac{K_n}{K}\right)^2 \right\}} \right\} dy$$

The above equation can be graphically integrated for a given channel and its discharge by plotting the value of  $dx/dy$  on x-axis for various values of  $y$  plotted on x-axis.



By measuring the area formed by the curve, (the x-axis and the ordinates of  $dx/dy$  at  $y=y_1$  and  $y=y_2$ )  $L$  can be determined. The area can also be determined by computing the ordinates  $dx/dy$  for different values of  $y$  and then, calculating the area between the adjacent ordinates. Summing these areas, one can obtain the desired length  $L$ .

**Problem:** A river 100 m wide and 3m deep has an average bed slope of 0.0005. Estimate the length of the GVF profile produced by a low weir which raises the water surface just upstream of it by 1.5 m. Assume  $N = 0.035$ . Use direct step method with three steps. To estimate the length of the GVF profile

$$q = \frac{1}{n} (y_0)^{\frac{5}{3}} s_0^{\frac{1}{2}} = \frac{1}{0.035} (3)^{\frac{5}{3}} 0.0005^{\frac{1}{2}} = 3.987 \text{ m}^2 / \text{sec}$$

$$\text{Critical depth } y_c = \left( \frac{q^2}{g} \right)^{\frac{1}{3}} = \left( \frac{3.987^2}{9.81} \right)^{\frac{1}{3}} = 1.175 \text{ m}$$

since  $y > y_0 > y_c$  .The GVF profile is an  $M_1$  curve with Curve with the depth

$$y = 4.5 \text{ m}$$

Use Three Steps

$$\frac{4.5 - 3.0}{3.0} = 0.5 \text{ m}$$

$$q = \frac{Q}{b}$$

$$Q = q \times b = 3.987 \times 100 = 398.70 \text{ m}^3 / \text{sec}$$

**Problem:** In a rectangular channel of bed width 0.5 m, a hydraulic jump occurs at a point where depth of flow is 0.15 m and Froude's number is 2.5. Determine (1) The specific energy (2) The critical depth (3) The subsequent depths (4) Loss of head (5) Energy dissipated.

**Given Data**

$$b = 0.5 \text{ m}^3 / \text{sec}$$

$$y = 0.15 \text{ m}$$

$$F = 2.5$$

**Solution:**

Step-1: To Determine the Specific Energy:

$$E = y + \frac{V^2}{2g} = y + \frac{Q^2}{V^2 \times 2g} \quad \text{note : } V = \frac{Q}{A}$$

$$F = \frac{V}{\sqrt{gD}} \quad \text{note : } D = \frac{A}{T} = \frac{b \times y}{b} = y \quad F = \frac{V}{\sqrt{gy}}$$

$$2.5 = \frac{V}{\sqrt{g \times 0.15}}$$

From Equation 1, we can Get

$$E = y + \frac{V^2}{2g} = 0.15 + \frac{3.033^2}{2 \times 9.81} = 0.619 \text{ m}$$

Step-2: To Determine the Critical Depth:

$$h_c = \left( \frac{q^2}{g} \right)^{\frac{1}{3}}$$

$$q = \frac{Q}{b} = \frac{A \times V}{b} = \frac{(b \times d) \times V}{b} = V \times d \\ = 3.033 \times 0.15$$

$$q = 0.455 \text{ m}^2 / \text{sec}$$

$$h_c = \left( \frac{q^2}{g} \right)^{\frac{1}{3}} = \left( \frac{0.455^2}{9.81} \right)^{\frac{1}{3}} = 0.276 \text{ m}$$

STUCOR APP

## UNIT 3 RAPIDLY VARIED FLOW

## 3.1 The Application of the Energy equation for Rapidly Varied Flow

Rapid changes in stage and velocity occur whenever there is a sudden change in cross-section, a very steep bed-slope or some obstruction in the channel. This type of flow is termed rapidly varied flow.

Typical examples are flow over sharp-crested weirs and flow through regions of greatly changing cross-section (Venturi flumes and broad-crested weirs). Rapid change can also occur when there is a change from super-critical to sub-critical flow (see later) in a channel reach at a hydraulic jump.

In these regions the surface is highly curved and the assumptions of hydrostatic pressure distribution and parallel streamlines do not apply. However it is possible to get good approximate solutions to these situations yet still use the energy and momentum concepts outlined earlier. The solutions will usually be sufficiently accurate for engineering purposes.

## 3.1.1 The energy (Bernoulli) equation

The figure below shows a length of channel inclined at a slope of  $\theta$  and flowing with uniform flow.

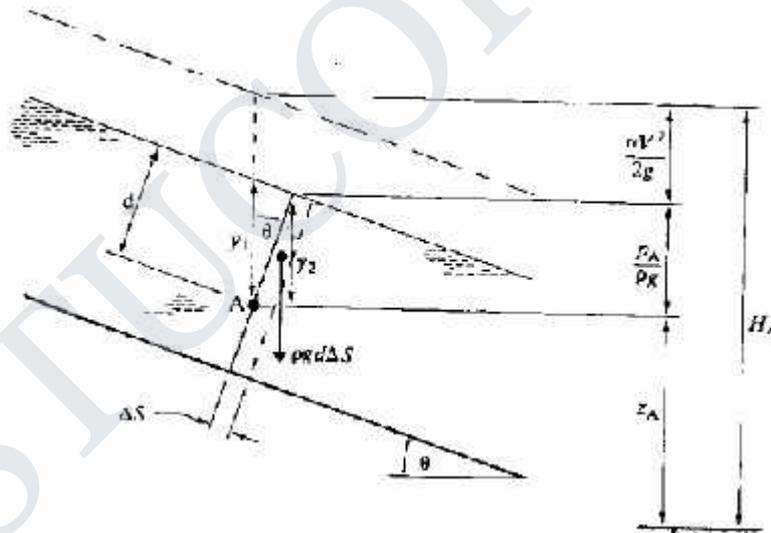


Figure of channel in uniform flow

Recalling the Bernoulli equation

$$\frac{p}{\rho g} + \frac{\alpha V^2}{2g} + z = \text{constant}$$

And assuming a hydrostatic pressure distribution we can write the pressure at a point on a streamline, A say, in terms of the depth  $d$  (the depth measured from the water surface to the bed) and the channel slope.

$$p_A = \rho g d$$

In terms of the vertical distance

$$d = \frac{y_2}{\cos \theta} = y_1 \cos \theta$$

$$y_2 = y_1 \cos^2 \theta$$

So

$$p_A = \rho g y_1 \cos^2 \theta$$

So the pressure term in the above Bernoulli equation becomes

$$\frac{p_A}{\rho g} = y_1 \cos^2 \theta$$

As channel slope in open channel are very small (1:100 = 0.57 and = 0.9999) so unless the channel is unusually steep  $\cos^2 \theta \approx 1$

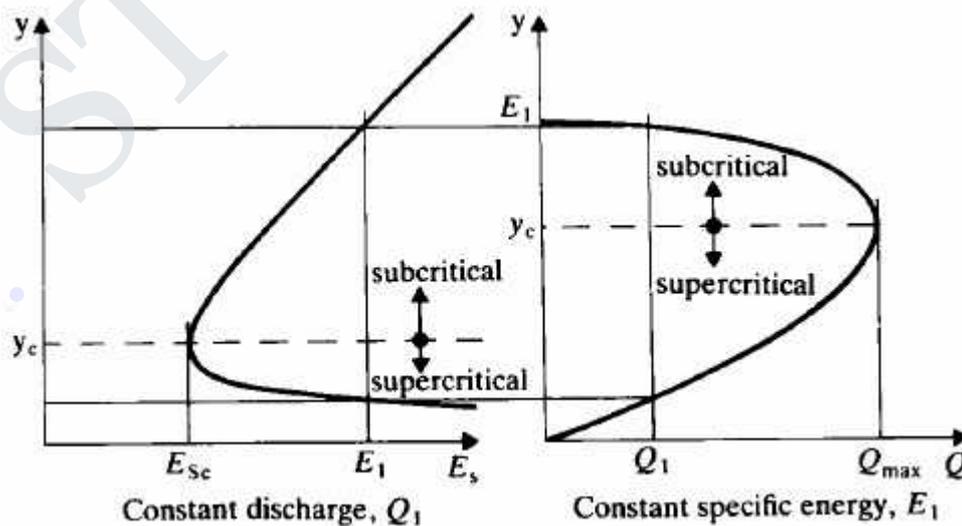
$$\frac{p_A}{\rho g} = y_1$$

And the Bernoulli equation becomes

$$y + \frac{\alpha V^2}{2g} + z = H$$

### 3.2 Critical, Sub-critical and super critical flow

The specific energy change with depth was plotted above for a constant discharge  $Q$ , it is also possible to plot a graph with the specific energy fixed and see how  $Q$  changes with depth. These two forms are plotted side by side below.



From these graphs we can identify several important features of rapidly varied flow.

For a fixed discharge:

1. The specific energy is a minimum,  $E_{sc}$ , at depth  $y_c$ , This depth is known as critical depth.

2. For all other values of  $E_s$  there are two possible depths. These are called alternate depths. For

subcritical flow  $y > y_c$

supercritical flow  $y < y_c$

For a fixed Specific energy

1. The discharge is a maximum at critical depth,  $y_c$

2. For all other discharges there are two possible depths of flow for a particular  $E_s$

i.e. There is a sub-critical depth and a super-critical depth with the same  $E_s$

An equation for critical depth can be obtained by setting the differential of  $E_s$  to zero:

$$E_s = y + \frac{\alpha(Q/A)^2}{2g}$$

$$\frac{dE_s}{dy} = 0 = 1 + \frac{\alpha Q^2}{2g} \frac{d}{dA} \left( \frac{1}{A^2} \right) \frac{dA}{dy}$$

Since  $\delta A = B \delta y$ , in the limit  $dA/dy = B$  and

$$0 = 1 - \frac{\alpha Q^2}{2g} B_c 2A_c^{-3}$$

$$\frac{\alpha Q^2 B_c}{g A_c^3} = 1$$

For a rectangular channel  $Q = qb$ ,  $B = b$  and  $A = by$ , and taking  $\alpha = 1$  this equation becomes

$$y_c = \left( \frac{q^2}{g} \right)^{1/3}$$

$$\text{as } V_c y_c = q$$

$$V_c = \sqrt{g y_c}$$

Substituting this in to the specific energy equation

$$E_{sc} = y_c + \frac{V_c^2}{2g} = y_c + \frac{y_c}{2}$$

$$y_c = \frac{2}{3} E_{sc}$$

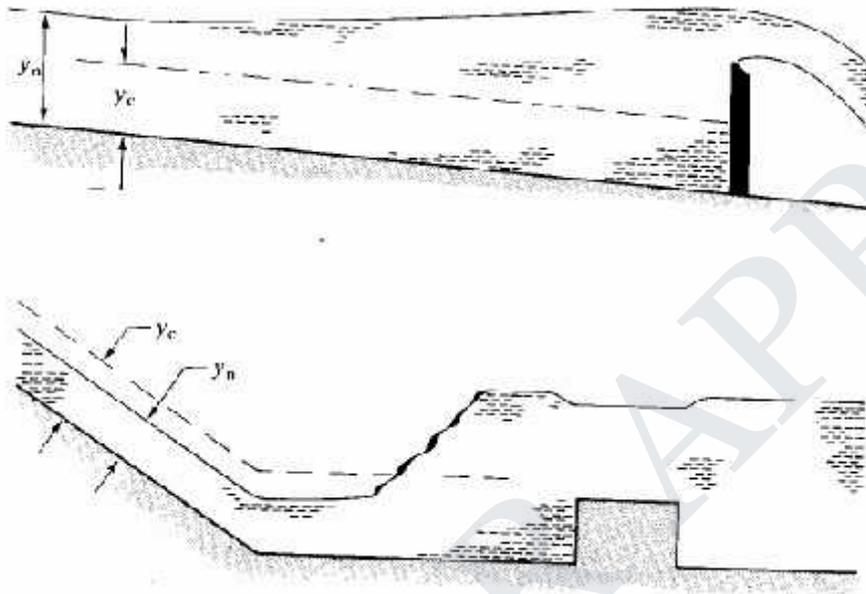


Figure of sub and super critical flow and transmission of disturbances

### 3.3 Application of the Momentum equation for Rapidly Varied Flow

The hydraulic jump is an important feature in open channel flow and is an example of rapidly varied flow. A hydraulic jump occurs when a super-critical flow and a sub-critical flow meet. The jump is the mechanism for the two surfaces to join. They join in an extremely turbulent manner which causes large energy losses.

Because of the large energy losses the energy or specific energy equation cannot be used in analysis, the momentum equation is used instead.

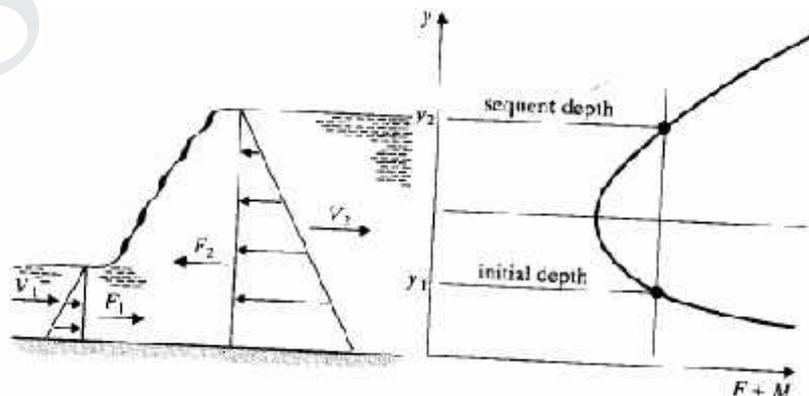


Figure of forces applied to the control volume containing the hydraulic jump

Resultant force in x-direction =  $F_1 - F_2$ Momentum change =  $M_2 - M_1$ 

$$F_1 - F_2 = M_2 - M_1$$

Or for a constant discharge

$$F_1 + M_1 = F_2 + M_2 = \text{constant}$$

For a rectangular channel this may be evaluated using

$$\begin{aligned} F_1 &= \rho g \frac{y_1}{2} y_1 b & F_2 &= \rho g \frac{y_2}{2} y_2 b \\ M_1 &= \rho Q V_1 & M_2 &= \rho Q V_2 \\ &= \rho Q \frac{Q}{y_1 b} & &= \rho Q \frac{Q}{y_2 b} \end{aligned}$$

Substituting for these and rearranging gives

$$y_2 = \frac{y_1}{2} \left( \sqrt{1 + 8Fr_1^2} - 1 \right)$$

Or

$$y_1 = \frac{y_2}{2} \left( \sqrt{1 + 8Fr_2^2} - 1 \right)$$

So knowing the discharge and either one of the depths on the upstream or downstream side of the jump the other – or conjugate depth – may be easily computed.

More manipulation with Equation and the specific energy give the energy loss in the jump as

$$\Delta E = \frac{(y_2 - y_1)^3}{4y_1 y_2}$$

These are useful results and which can be used in gradually varied flow calculations to determine water surface profiles.

In summary, a hydraulic jump will only occur if the upstream flow is super-critical. The higher the upstream Froude number the higher the jump and the greater the loss of energy in the jump.

A hydraulic jump is a phenomenon in the science of hydraulics which is frequently observed in open channel flow such as rivers and spillways. When liquid at high velocity discharges into a zone of lower velocity, a rather abrupt rise occurs in the liquid surface. The rapidly flowing liquid is abruptly slowed and increases in height, converting some of the flow's initial kinetic energy into an increase in potential energy, with some energy irreversibly lost through turbulence to heat. In an open channel flow, this manifests as the fast flow rapidly slowing and piling up on top of itself similar to how a shockwave forms.

### 3.4.1 Expression for Hydraulic Jump

$$y_2 = \frac{y_1}{2} \left( \sqrt{1 + 8(F_1)^2} - 1 \right)$$

Where

Y1	=	depth of flow at section 1-1
Y2	=	depth of flow at section 2-2
F1	=	Froude number at section 1-1

### 3.4.2 Loss of Energy due to Hydraulic Jump

$$h_f = \frac{y_2 - y_1}{4y_1y_2} (y_2 - y_1)^3$$

Where

Y <sub>1</sub>	=	depth of flow at section 1-1
Y <sub>2</sub>	=	depth of flow at section 2-2

### 3.4.3 Uses of Hydraulic Jump

The kinetic energy of flow after the hydraulic jump is greatly reduced, which may prevent erosion of the channel boundaries of downstream side.

### 3.4.4 Classification of Hydraulic Jumps

Based on Froude number (F), hydraulic jump can be classified into 5 types.

- Undulation jump: The Froude number F ranges from 1 to 1.7 and the liquid surface does not rise shortly but having undulations of radically decreasing size.
- Weak jump: The Froude number F ranges from 1.7 to 2.5 and the liquid surface remains smooth.
- Oscillating jump: The Froude number F ranges from 2.5 to 4.5 and there is an oscillating jet which enters the jump bottom and oscillating to the surface.
- Steady jump: The Froude number F ranges from 4.5 to 9 and energy loss due to steady jump is between 45 and 70%.
- Strong jump: The Froude number greater than 9 and the downstream water surface is rough. Energy loss due to strong jump may be up to 85%.

**Problem:** Find the slope of the free water surface of a rectangular stream 15 m. Wide and 3m deep. The bed slope of the stream is 1 in 5000. Total discharge is  $29 \text{ m}^3/\text{s}$ . Assume  $C = 65$  and the depth is increasing in the direction of flow.

**Solution:**

$$V = \frac{Q}{A} = \frac{29}{15 \times 3} = 0.645 \text{ m/s}$$

$$F^2 = \frac{V^2}{g y} = \frac{0.645^2}{9.81 \times 3} = 0.0142$$

$$S_c = \frac{V^2}{C^2 R} \quad \left[ \because V = C \sqrt{m i} = C \sqrt{R S_e} \therefore R = \frac{A}{P} = \frac{15 \times 3}{15 + 3 + 3} = \frac{45}{21} \right]$$

$$= \frac{0.645^2}{65^2 \times \frac{45}{21}}$$

$$S_e = \frac{1}{21700}$$

$$\frac{dy}{dx} = \frac{S_0 - S_e}{1 - F^2} = \frac{\frac{1}{5000} - \frac{1}{21700}}{(1 - 0.0142)}$$

$$\frac{dy}{dx} = \frac{1}{6400}$$

$\therefore$  Slope of free water surface is 1 in 6400.

#### 4.1 Introduction

Most of the electrical generators are powered by turbines. Turbines are the primemovers of civilisation. Steam and Gas turbines share in the electrical power generation is about 75%. About 20% of power is generated by hydraulic turbines and hence thier importance. Rest of 5% only is by other means of generation.

Hydraulic power depends on renewable source and hence is ever lasting. It is also non polluting in terms of non generation of carbon dioxide.

#### 4.2 Breaking Jet

When the nozzle is completely closed, the amount of water striking the runner reduces to zero but the runner due to inertia goes on revolving for a long time to stop the runner in a short time a small nozzle is provided which direct the jet of water on the back of vanes .This jet of water is called breaking jet.

#### 4.3 Classification of Turbines

The main classification depends upon the type of action of the water on the turbine. These are  
(i) **Impulse turbine** (ii) **Reaction Turbine.**

(i) In the case of impulse turbine all the potential energy is converted to kinetic energy in the nozzles. The impulse provided by the jets is used to turn the turbine wheel. The pressure inside the turbine is atmospheric.

This type is found suitable when the available potential energy is high and the flow available is comparatively low. Some people call this type as tangential flow units. Later discussion will show under what conditions this type is chosen for operation.

(ii) In reaction turbines the available potential energy is progressively converted in the turbines rotors and the reaction of the accelerating water causes the turning of the wheel.

These are again divided into radial flow, mixed flow and axial flow machines. Radial flow machines are found suitable for moderate levels of potential energy and medium quantities of flow. The axial machines are suitable for low levels of potential energy and large flow rates. The potential energy available is generally denoted as “head available”. With this terminology plants are designated as “high head”, “medium head” and “low head” plants.

#### Impulse and reaction turbines

Turbines work in two different ways described as impulse and reaction terms that are often very confusingly described (and sometimes completely muddled up) when people try to explain them. So what's

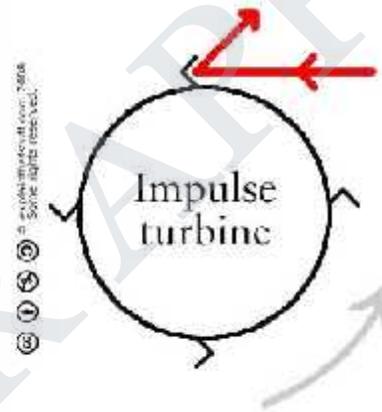
the difference?

#### 4.4 Impulse turbines

In an impulse turbine, a fast-moving fluid is fired through a narrow nozzle at the turbine blades to make them spin around. The blades of an impulse turbine are usually bucket-shaped so they catch the fluid and direct it off at an angle or sometimes even back the way it came (because that gives the most efficient transfer of energy from the fluid to the turbine). In an impulse turbine, the fluid is forced to hit the turbine at high speed. Imagine trying to make a wheel like this turn around by kicking soccer balls into its paddles. You'd need the balls to hit hard and bounce back well to get the wheel spinning—and those constant energy impulses are the key to how it works.



impulse turbine

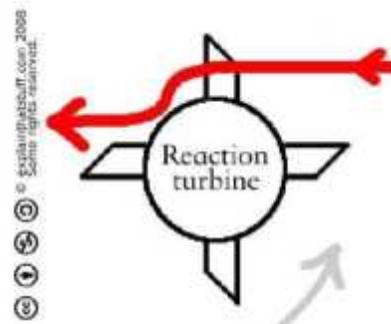


#### 4.5 Reaction turbines

In a reaction turbine, the blades sit in a much larger volume of fluid and turn around as the fluid flows past them. A reaction turbine doesn't change the direction of the fluid flow as drastically as an impulse turbine: it simply spins as the fluid pushes through and past its blades.

If an impulse turbine is a bit like kicking soccer balls, a reaction turbine is more like swimming—in reverse. Let me explain! Think of how you do freestyle (front crawl) by hauling your arms through the water, starting with each hand as far in front as you can reach and ending with a "follow through" that throws your arm well behind you.

What you're trying to achieve is to keep your hand and forearm pushing against the water for as long as possible, so you transfer as much energy as you can in each stroke. A reaction turbine is using the same idea in reverse: imagine fast-flowing water moving past you so it makes your arms and legs move and supplies energy to your body! With a reaction turbine, you want the water to touch the blades smoothly, for as long as it can, so it gives up as much energy as possible. The water isn't hitting the blades and bouncing off, as it does in an impulse turbine: instead, the blades are moving more smoothly, "going with the flow".



reaction turbine

#### 4.6 Turbines in action

Broadly speaking, we divide turbines into four kinds according to the type of fluid that drives them: water, wind, steam, and gas. Although all four types work in essentially the same way—spinning around as the fluid moves against them—they are subtly different and have to be engineered in very different ways. Steam turbines, for example, turn incredibly quickly because steam is produced under high-pressure. Wind turbines that make electricity turn relatively slowly (mainly for safety reasons), so they need to be huge to capture decent amounts of energy. Gas turbines need to be made from specially resilient alloys because they work at such high temperatures. Water turbines are often very big because they have to extract energy from an entire river, dammed and diverted to flow past them.

#### 4.7 Kaplan turbine

The Kaplan turbine is a propeller-type water turbine which has adjustable blades. It was developed in 1913 by the Austrian professor Viktor Kaplan, who combined automatically - adjusted propeller blades with automatically-adjusted wicket gates to achieve efficiency over a wide range of flow and water level.

The Kaplan turbine was an evolution of the Francis turbine. Its invention allowed efficient power production in low-head applications that was not possible with Francis turbines.

Kaplan turbines are now widely used throughout the world in high-flow, low-head power production. The Kaplan turbine is an inward flow reaction turbine, which means that the working fluid changes pressure as it moves through the turbine and gives up its energy. The design combines radial and axial features.

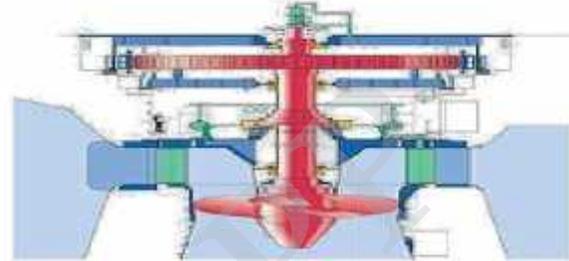
The inlet is a scroll-shaped tube that wraps around the turbine's wicket gate. Water is directed tangentially through the wicket gate and spirals on to a propeller shaped runner, causing it to spin. The outlet is a specially shaped draft tube that helps decelerate the water and recover kinetic energy.

The turbine does not need to be at the lowest point of water flow as long as the draft tube remains full. The draft tube, however, increases the suction that is imparted on the turbine blades by

the draft tube. The resulting pressure drop may lead to cavitation.

Variable geometry of the wicket gate and turbine blades allow efficient operation for a range of flow conditions. Kaplan turbine efficiencies are typically over 90%, but may be lower in very low head applications.

Current areas of research include CFD driven efficiency improvements and new designs that raise survival rates of fish passing through.



#### 4.7.1 Applications

Kaplan turbines are widely used throughout the world for electrical power production. They cover the lowest head hydro sites and are especially suited for high flow conditions. Inexpensive micro turbines are manufactured for individual power production with as little as two feet of head. Kaplan turbine is low head turbine. Large Kaplan turbines are individually designed for each site to operate at the highest possible efficiency, typically over 90%. They are very expensive to design, manufacture and install, but operate for decades.

**Problem:** A Kaplan turbine works under a head of 26.5 m, the flow rate of water being 170 m<sup>3</sup>/s. The overall efficiency is 90%. Determine the power and specific speed. The turbine speed is 150 rpm.

**Solution:**

$$\begin{aligned} \text{Power developed} &= 0.9 \times 170 \times 10^3 \times 9.81 \times 26.5 \\ W &= 39.77 \times 10^6 \text{ W or } 39.77 \text{ MW} \end{aligned}$$

Dimensionless specific speed

$$= \frac{N\sqrt{P}}{\rho^{1/2}(gH)^{5/4}} = \frac{150}{60} \cdot \frac{\sqrt{39.77 \times 10^6}}{1000^{1/2} \times 9.81^{1.25} \times 26.5^{1.25}} = 0.4776 \text{ rad}$$

Dimensional specific speed

$$= \frac{150}{60} \cdot \frac{\sqrt{39.77 \times 10^6}}{26.5^{1.25}} = 262.22$$

**Problem :** A Kaplan turbine plant develops 3000 kW under a head of 10 m. While running at 62.5 rpm. The

discharge is 350 m<sup>3</sup>/s. The tip diameter of the runner is 7.5 m and the hub to tip ratio is 0.43. Calculate the specific speed, turbine efficiency, the speed ratio and flow ratio.

Speed ratio is based on tip speed. Hub diameter =  $0.43 \times 7.5 = 3.225$  m Turbine efficiency =  $P / Q H g$

$$= \frac{30000 \times 10^3}{1000 \times 350 \times 10 \times 9.81} = 0.8737 \text{ or } 87.37\%$$

$$\text{Specific speed} = \frac{60}{60} \cdot \frac{\sqrt{30,000 \times 10^3}}{10^{1.35}} = 308$$

$$\text{Runner tip speed} = \frac{\pi \times 7.5 \times 60}{60} = 23.56 \text{ m/s}$$

$$\therefore \text{Speed ratio} = 23.56 / \sqrt{2 \times 9.81 \times 10} = 1.68$$

$$\text{Flow velocity} = \frac{350 \times 4}{\pi (7.5^2 - 3.225^2)} = 9.72 \text{ m/s}$$

$$\therefore \text{Flow ratio} = 9.72 / \sqrt{2 \times 9.81 \times 10} = 0.69.$$

#### 4.7.2 Variations

The Kaplan turbine is the most widely used of the propeller-type turbines, but several other variations exist:

##### 4.7.2.1 Propeller Turbines

Propeller Turbines have non-adjustable propeller vanes. They are used in where the range of head is not large. Commercial products exist for producing several hundred watts from only a few feet of head. Larger propeller turbines produce more than 100 MW.

##### 4.7.2.2 Bulb or Tubular turbines

Bulb or Tubular turbines are designed into the water delivery tube. A large bulb is centered in the water pipe which holds the generator, wicket gate and runner. Tubular turbines are a fully axial design, whereas Kaplan turbines have a radial wicket gate.

##### 4.7.2.3 Pit turbines

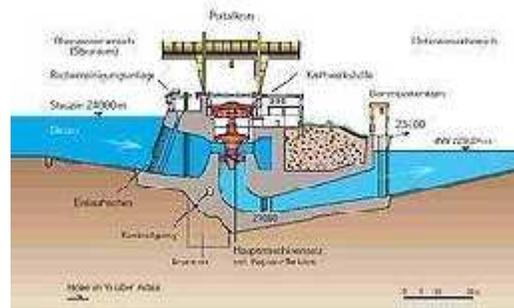
Pit turbines are bulb turbines with a gear box. This allows for a smaller generator and bulb. Straflo turbines are axial turbines with the generator outside of the water channel, connected to the periphery of the runner.

##### 4.7.2.4 S- turbines

S- turbines eliminate the need for a bulb housing by placing the generator outside of the water channel. This is accomplished with a jog in the water channel and a shaft connecting the runner and

turbines

Tyson turbines are a fixed propeller turbine designed to be immersed in a fast flowing river, either permanently anchored in the river bed, or attached to a boat or barge.



#### 4.8 Francis Turbine

The **Francis turbine** is a type of water turbine that was developed by James B. Francis in Lowell, MA. It is an inward-flow reaction turbine that combines radial and axial flow concepts.

Francis turbines are the most common water turbine in use today. They operate in a head range of ten meters to several hundred meters and are primarily used for electrical power production.

The inlet is spiral shaped. Guide vanes direct the water tangentially to the turbine wheel, known as a runner. This radial flow acts on the runner's vanes, causing the runner to spin. The guide vanes (or wicket gate) may be adjustable to allow efficient turbine operation for a range of water flow conditions.

As the water moves through the runner, its spinning radius decreases, further acting on the runner. For an analogy, imagine swinging a ball on a string around in a circle; if the string is pulled short, the ball spins faster due to the conservation of angular momentum. This property, in addition to the water's pressure, helps Francis and other inward-flow turbines harness water energy efficiently. Water wheels have been used historically to power mills of all types, but they are inefficient.

Nineteenth-century efficiency improvements of water turbines allowed them to compete with steam engines (wherever water was available).

In 1826 Benoit Fourneyron developed a high efficiency (80%) outward-flow water turbine. Water was directed tangentially through the turbine runner, causing it to spin. Jean-Victor Poncelet designed an inward-flow turbine in about 1820 that used the same principles. In 1848 James B. Francis, while working as head engineer of the Locks and Canals company in the water-powered factory city of Lowell, Massachusetts, improved on these designs to create a turbine with 90% efficiency. He applied scientific principles and testing methods to produce a very efficient turbine design. More importantly, his mathematical

and graphical calculation methods improved turbine design and engineering. His analytical methods allowed confident design of high efficiency turbines to exactly match a site's flow conditions.

The Francis turbine is a reaction turbine, which means that the working fluid changes pressure as it moves through the turbine, giving up its energy. A casement is needed to contain the water flow. The turbine is located between the high-pressure water source and the low-pressure water exit, usually at the base of a dam.

The inlet is spiral shaped. Guide vanes direct the water tangentially to the turbine wheel, known as a runner. This radial flow acts on the runner's vanes, causing the runner to spin. The guide vanes (or wicket gate) may be adjustable to allow efficient turbine operation for a range of water flow conditions.

As the water moves through the runner, its spinning radius decreases, further acting on the runner. For an analogy, imagine swinging a ball on a string around in a circle; if the string is pulled short, the ball spins faster due to the conservation of angular momentum. This property, in addition to the water's pressure, helps Francis and other inward-flow turbines harness water energy efficiently.

**Problem** A turbine develops 7225 kW power under a head of 25 m at 135 rpm. Calculate the specific speed of the turbine and state the type of the turbine.

**Solution:**

$$P = 7225 \text{ kW}, H = 25 \text{ m}; N = 135 \text{ rpm}$$

$$N_s = \frac{N \sqrt{P}}{H^{5/4}} = \frac{135 \sqrt{7225}}{25^{5/4}} = 205.28$$

Hence it is Francis turbine.

**Problem:** The outer diameter of a Francis runner is 1.4 m. The flow velocity at inlet is 9.5 m/s. The absolute velocity at the exit is 7 m/s. The speed of operation is 430 rpm. The power developed is 12.25 MW, with a flow rate of 12 m<sup>3</sup>/s. Total head is 115 m. For shockless entry determine the angle of the inlet guide vane. Also find the absolute velocity at entrance, the runner blade angle at inlet and the loss of head in the unit. Assume zero whirl at exit. Also find the specific speed.

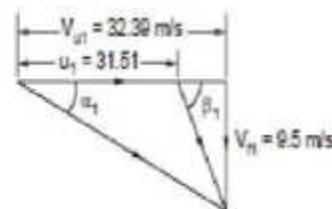
$$\text{The runner speed } u_1 = \frac{\pi D N}{60} = \frac{\pi \times 430 \times 14}{60} = 31.52 \text{ m/s}$$

$$\begin{aligned} \text{As } V_{w2} &= 0, \\ \text{Power developed} &= \dot{m} V_{w1} u_1 \\ 12.25 \times 10^6 &= 12 \times 10^3 \times V_{w1} \times 31.52 \end{aligned}$$

$$\text{Solving } V_{w1} = 32.39 \text{ m/s}$$

$$V_{w1} > u_1$$

∴ The shape of the inlet



**Problem:** A Francis turbine developing 16120 kW under an a head of 260 m runs at 600 rpm. The runner outside diameter is 1500 mm and the width is 135 mm. The flow rate is 7 m<sup>3</sup>/s. The exit velocity at the draft tube outlet is 16 m/s. assuming zero whirl velocity at exit and neglecting blade thickness determine the overall and hydraulic efficiency and rotor blade angle at inlet. Also find the guide vane outlet angle:

$$\text{Overall efficiency} = \frac{\text{Power developed}}{\text{Hydraulic power}} = \frac{16120 \times 10^3}{7 \times 1000 \times 9.81 \times 260}$$

$$\eta_o = 0.9029 \text{ or } 90.29\%$$

$$\text{Hydraulic efficiency} = \left( H - \frac{V_2^2}{2g} \right) / H$$

where  $V_2$  is the exit velocity into the tailrace

$$\eta_H = (260 - (16^2/2 \times 9.81)) / 260$$

$$= 0.9498 \text{ or } 94.98\%$$

As  $V_{u2}$  is assumed to be zero,

$$V_{u1} = \eta_H (gH) / u_1$$

$$u_1 = \pi DN / 60 = \frac{\pi \times 15 \times 600}{60} = 47.12 \text{ m/s}$$

$$V_{u1} = 0.9498 \times 9.81 \times 260 / 47.12 = 51.4 \text{ m/s}$$

$$V_{u1} > u$$

The shape of the velocity triangle is as given.  $\beta$  is the angle taken with the direction of blade velocity.

$$V_f = \frac{Q}{\pi D_1 b_1} = \frac{7}{\pi \times 15 \times 0.135} = 11 \text{ m/s}$$

$$\tan \alpha_1 = 11 / 51.4$$

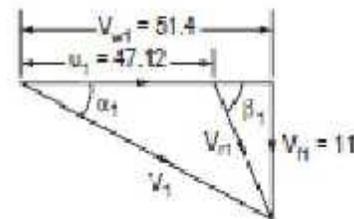
$$\alpha_1 = 12.08^\circ$$

$$\tan \beta_1 = 11 / (51.4 - 47.12)$$

$$\beta_1 = 68.74^\circ$$

The specific speed of the unit

$$= \frac{600 \sqrt{16120000}}{260^{1.25}} = 38.46$$



**Problem 5.24:** A turbine is to operate under a head of 25 m. at 200 rpm. The discharge is 9 cumec. If the overall efficiency is 90%, determine.

1. Power generated
2. Specific speed
3. Type of Turbine.

**Solution:**

$$H = 25 \text{ m}, N = 200 \text{ rpm}, Q = 9 \text{ cumec}, \eta = 90\%$$

$$1. \quad \eta_0 = \frac{\text{Power developed}}{\text{water power}} = \frac{P}{\frac{\rho g Q H}{1000}} = 0.90$$

$$\therefore P = \frac{0.90 \times \rho \times g \times Q \times H}{1000} = \frac{0.90 \times 1000 \times 9.81 \times 9 \times 25}{1000}$$

$$= 1986.5 \text{ kW}$$

$$2. \quad \text{Sp. Speed } N_s = \frac{N \sqrt{P}}{H^{5/4}} = \frac{200 \sqrt{1986.5}}{25^{5/4}} = 159.46 \text{ rpm}$$

3. Type of Turbine is Francis Turbine.

#### 4.9 Specific speed

The specific speed  $n_s$  of a turbine dictates the turbine's shape in a way that is not related to its size. This allows a new turbine design to be scaled from an existing design of known performance. The specific speed is also the main criterion for matching a specific hydro -electric site with the correct turbine type.

The formula suggests that the Pelton turbine is most suitable for applications with relatively high hydraulic head, due to the  $5/4$  exponent being greater than unity, and given the characteristically low specific speed of the Pelton.

## UNIT 5 PUMPS

### 5.1 Centrifugal Pumps

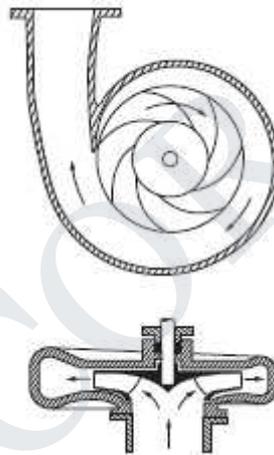
These are so called because energy is imparted to the fluid by centrifugal action of moving blades from the inner radius to the outer radius. The main components of centrifugal pumps are (1) the impeller, (2) the casing and (3) the drive shaft with gland and packing.

Additionally suction pipe with one way valve (foot valve) and delivery pipe with delivery valve completes the system.

The liquid enters the eye of the impeller axially due to the suction created by the impeller motion. The impeller blades guide the fluid and impart momentum to the fluid, which increases the total head (or pressure) of the fluid, causing the fluid to flow out.

The fluid comes out at a high velocity which is not directly usable. The casing can be of simple volute type or a diffuser can be used as desired. The volute is a spiral casing of gradually increasing cross section. A part of the kinetic energy in the fluid is converted to pressure in the casing.

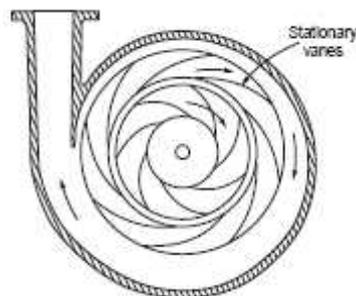
Figure shows a sectional view of the centrifugal pump.



#### 5.1.1 Volute type centrifugal pump.

Gland and packing or so called stuffing box is used to reduce leakage along the drive shaft. By the use of the volute only a small fraction of the kinetic head can be recovered as useful static head.

A diffuser can diffuse the flow more efficiently and recover kinetic head as useful static head. A view of such arrangement is shown in figure. Diffuser pump are also called as turbine pumps as these resembles Francis turbine with flow direction reversed.



**Diffuser pump.**

The impeller consists of a disc with blades mounted perpendicularly on its surface. The blades may be of three different orientations.

These are (i) Radial, (ii) Backward curved, and (iii) Forward curved.

Backward and forward refers to the direction of motion of the disc periphery. Of these the most popular one is the backward curved type, due to its desirable characteristics, which reference to the static head developed and power variation with flow rate.

A simple disc with blades mounted perpendicularly on it is called open impeller. If another disc is used to cover the blades, this type is called shrouded impeller. This is more popular with water pumps. Open impellers are well adopted for use with dirty or water containing solids. The third type is just the blades spreading out from the shaft.

These are used to pump slurries. Impellers may be of cast iron or bronzes or steel or special alloys as required by the application. In order to maintain constant radial velocity, the width of the impeller will be wider at entrance and narrower at the exit. The blades are generally cast integral with the disc. Recently even plastic material is used for the impeller. To start delivery of the fluid the casing and impeller should be filled with the fluid without any air pockets. This is called priming.

If air is present there will be only compression and no delivery of fluid. In order to release any air entrained an air valve is generally provided. The one way foot valve keeps the suction line and the pump casing filled with water.

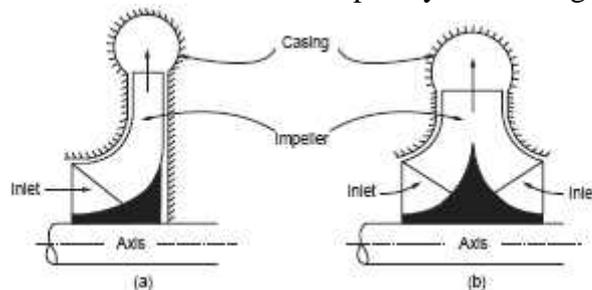
### 5.1.3 Classification

As already mentioned, centrifugal pumps may be classified in several ways. On the basis of speed as low speed, medium speed and high speed pumps.

On the basis of direction of flow of fluid, the classification is radial flow, mixed flow and axial flow. On the basis of head pumps may be classified as low head (10 m and below), medium head (10-50 m) and high head pumps.

Single entry type and double entry type is another classification. Double entry pumps have blades on both sides of the impeller disc. This leads to reduction in axial thrust and increase in flow for the same speed and diameter.

When the head required is high and which cannot be developed by a single impeller, multi staging is used. In deep well submersible pumps the diameter is limited by the diameter of the bore well casing. In this case multi stage pump becomes a must. In multi stage pumps several impellers are mounted on the same shaft and the outlet flow of one impeller is led to the inlet of the next impeller and so on. The total head developed equals the sum of heads developed by all the stages.



### 5.1.4 Single and double entry pumps

Pumps may also be operated in parallel to obtain large volumes of flow. The series and parallel operations are discussed later in the chapter. The

dimensionless parameters have been derived in the case of hydraulic machines. The same is also repeated in example.

The expression for the dimensionless specific speed is given in equation

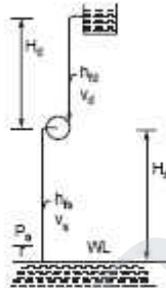
$$N_s = \frac{N \sqrt{Q}}{(gH)^{3/4}}$$

More often dimensional specific speed is used in practice. In this case

$$N_s = \frac{N \sqrt{Q}}{H^{3/4}}$$

### 5.1.5 Pressure Developed By The Impeller

The general arrangement of a centrifugal pump system is shown in Figure



$H_s$ —Suction level above water level.

$H_d$ —Delivery level above the impeller outlet.

$h_{fs}$ ,  $h_{fd}$ —frictionless  $m$ ,  $m$ .

$V_s$ ,  $V_d$ —pipe velocities.

Applying Bernoulli's equation between the water level and pump suction,

$$\frac{P_a}{\gamma} + H_s + h_{fs} + \frac{V_s^2}{2g} = \frac{P_s}{\gamma}$$

$$\frac{P_s}{\gamma} = \frac{P_a}{\gamma} + H_s + h_{fs} + \frac{V_s^2}{2g}$$

Similarly applying Bernoulli's theorem between the pump delivery and the delivery at the tank

$$\frac{P_d}{\gamma} + \frac{V_d^2}{2g} = \frac{P_a}{\gamma} + H_d + h_{fd} + \frac{V_d^2}{2g}$$

$$\frac{P_d}{\gamma} = \frac{P_a}{\gamma} + H_d + h_{fd}$$

where  $P_d$  is the pressure at the pump delivery

$$\frac{P_d}{\gamma} - \frac{P_s}{\gamma} = \frac{P_a}{\gamma} + H_d + h_{fd} - \frac{P_a}{\gamma} - \frac{V_s^2}{2g} + H_s + h_{fs}$$

$$= H_d + H_s + h_f + \frac{V_s^2}{2g} = H_e + \frac{V_s^2}{2g}$$

where  $H_e$  is the effective head

### 5.1.6 Manometric Head

The official code defines the head on the pump as the difference in total energy heads at the suction and delivery flanges. This head is defined as manometric head.

The total energy at suction inlet (expressed as head of fluid)

$$\frac{P_s}{\gamma} + \frac{V_s^2}{2g} + Z_s$$

where  $Z_s$  is the height of suction gauge from datum. The total energy at the delivery of the pump

$$= \frac{P_d}{\gamma} + \frac{V_d^2}{2g} + Z_d$$

$Z_2$  is the height of delivery gauge from datum. The difference in total energy is defined as  $H_m$

$$= \left( \frac{P_d}{\gamma} - \frac{P_s}{\gamma} \right) + \frac{V_d^2 - V_s^2}{2g} + (Z_d - Z_s)$$

$$\frac{P_d}{\gamma} - \frac{P_s}{\gamma} = H_s + \frac{V_s^2}{2g}$$

Substituting  $H_m = H_s + \frac{V_s^2}{2g} + (Z_d - Z_s)$

As  $(Z_d - Z_s)$  is small and  $\frac{V_s^2}{2g}$  is also small as the gauges are fixed as close as possible.

$\therefore H_m = \text{Static head} + \text{all losses.}$

### 5.1.7 Energy Transfer By Impeller

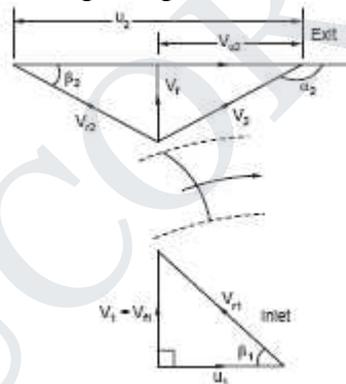
The energy transfer is given by Euler Turbine equation applied to work absorbing machines,

$$W = -(u_1 V_{u1} - u_2 V_{u2}) = (u_2 V_{u2} - u_1 V_{u1})$$

This can be expressed as ideal head imparted as

$$H_{ideal} = \frac{u_2 V_{u2} - u_1 V_{u1}}{g}$$

The velocity diagrams at inlet and outlet of a backward curved vaned impeller is shown in figure. The inlet whirl is generally zero. There are no guide vanes at inlet to impart whirl. So the inlet triangle is right angled.



Velocity triangles for backward curved bladed pump.

$$V_1 = V_{r1} \text{ and are radial}$$

$$\tan \beta_1 = \frac{V_1}{u_1} \text{ or } \frac{V_r}{u_1}$$

$$V_{u1} = 0$$

$$\therefore H_{ideal} = \frac{u_2 V_{u2}}{g}$$

From the outlet triangle,

$$u_2 = \pi D_2 N/60,$$

$$V_{u2} = u_2 - \frac{V_{r2}}{\tan \beta_2}$$

$$\therefore H_{ideal} = \frac{u_2}{g} \left[ u_2 - \frac{V_{r2}}{\tan \beta_2} \right]$$

Manometric efficiency is defined as the ratio of manometric head and ideal head.

$$\eta_m = \frac{H_m \times g}{u_2(u_2 - V_{f2}/\tan \beta_2)}$$

$H_m = \text{Static head} + \text{all losses (for practical purposes)}$

$$\text{Mechanical efficiency} = \eta_{\text{mech}} = \frac{\text{Energy transferred to the fluid}}{\text{Work input}}$$

$$= \frac{(u_2 V_{u2}) Q \rho}{\text{power input}}$$

$$\text{Overall efficiency} = \eta_o = \frac{\text{Static head} \times Q \times \rho \times g}{\text{Power input}}$$

There are always some leakages of fluid after being imparted energy by the impeller.

$$\text{Volumetric efficiency} = \frac{\text{Volume delivered}}{\text{Volume passing through impeller}}$$

$$\text{Thus } \eta_o = \eta_m \cdot \eta_{\text{mech}} \cdot \eta_{\text{vol}} \quad (15.3.6)$$

$\frac{V_d^2}{2g}$  is not really useful as output of the pump. Hence the useful amount of energy transfer (as head) is taken as ( $H_a$ )

$$H_a = \frac{u_2 V_{u2}}{g} - \frac{V_d^2}{2g}$$

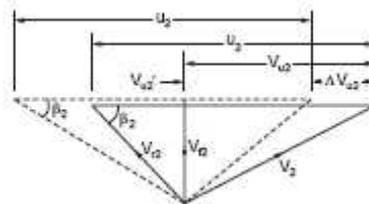
By algebraic manipulation, this can be obtained as

$$H_a = (u_2^2 - V_f^2 \operatorname{cosec}^2 \beta_2) / 2g$$

### 5.1.8 Slip and Slip Factor

In the analysis it is assumed that all the fluid between two blade passages have the same velocity (both magnitude of direction). Actually at the leading edge the pressure is higher and velocity is lower. On the trailing edge the pressure is lower and the velocity is higher. This leads to a circulation over the blades. Causing a non uniform velocity distribution.

The average angle at which the fluid leaves the blade is less than the blades angle. The result is a reduction in the exit whirl velocity  $V_{u2}$ . This is illustrated in the following figure. The solid lines represent the velocity diagram without slip. The angle  $\beta_2$  is the blade angle. The dotted lines represent the velocity diagram after slip. The angle  $\beta_2' < \beta_2$ . It may be seen that  $V_{u2}' < V_{u2}$ . The ratio  $V_{u2}' / V_{u2}$  is known as slip factor. The result of the slip is that the energy transfer to the fluid is less than the theoretical value.



Velocity triangle with slip

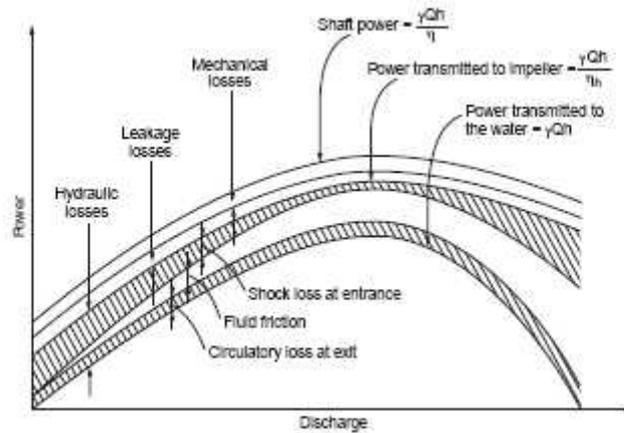
$$H_{\text{th}} = \sigma_s \cdot \frac{u_2 V_{u2}}{g}$$

where  $\sigma_s$  is the slip coefficient or slip factor.

### 5.1.9 Losses in Centrifugal Pumps

are t

three specific losses which can be separately calculated. These



### 5.1.10 Losses in pump

(i) Mechanical friction losses between the fixed and rotating parts in the bearings and gland and packing.

(ii) Disc friction loss between the impeller surfaces and the fluid.

(iii) Leakage and recirculation losses. The recirculation is along the clearance between the impeller and the casing due to the pressure difference between the hub and tip of the impeller. The various losses are indicated in figure.

### 5.1.11 Pump Characteristics

We have seen that the theoretical head

$$H_{th} = \frac{u_2 V_{u2}}{g} \quad \text{and} \quad V_{u2} = V_{r2} \cot \beta_2$$

$$V_{r2} = \frac{Q}{A}, \quad \text{where } A \text{ is the circumferential area.}$$

$$u_2 = \pi DN$$

Substituting these relations in the general equation. We can write

$$H_{th} = \pi^2 D^2 N^2 - \left( \frac{\pi DN}{A} \cot \beta_2 \right) Q$$

For a given pump,  $D$ ,  $A$ ,  $\beta_2$  and  $N$  are fixed. So at constant speed we can write

$$H_{th} = k_1 - k_2 Q$$

where  $k_1$  and  $k_2$  are constants and

$$k_1 = \pi^2 D^2 N^2 \quad \text{and} \quad k_2 = \left( \frac{\pi DN}{A} \cot \beta_2 \right)$$

For a given pump,  $D$ ,  $A$ ,  $\beta_2$  and  $N$  are fixed. So at constant speed we can write

$$H_{th} = k_1 - k_2 Q$$

where  $k_1$  and  $k_2$  are constants and

$$k_1 = \pi^2 D^2 N^2 \quad \text{and} \quad k_2 = \left( \frac{\pi DN}{A} \cot \beta_2 \right)$$

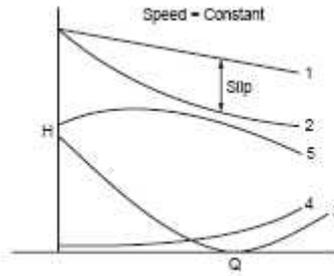
Hence at constant speed this leads to a drooping linear characteristics for backward curved blading. This is shown by curve 1 in Figure 15.4.1. The slip causes drop in the head, which can be written as  $Vu_2 - u_2/g$ . As flow increases this loss also increases.

Curve 2 shown the head after slip. The flow will enter without shock only at the design flow rate. At other flow rates, the water will enter with shock causing losses.

This loss can be expressed as  $h_{shock} = k_3 (Q_{th} - Q)^2$

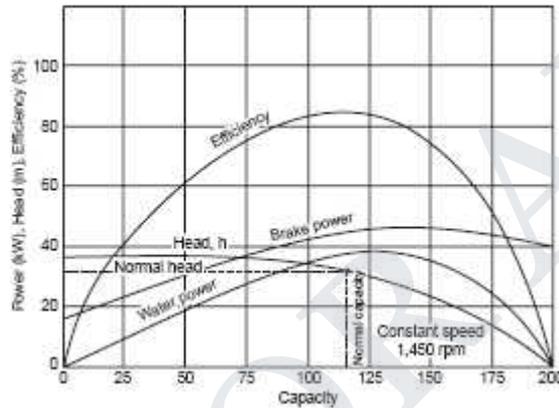
The reduced head after shock losses is shown in curve 5. The shock losses with flow rate is shown by curve 3. The mechanical losses can be represented by  $h_f = k_4 Q^2$ .

The variation is shown by curve 4. With variation of speed the head characteristic is curve 5 shown in Figure.



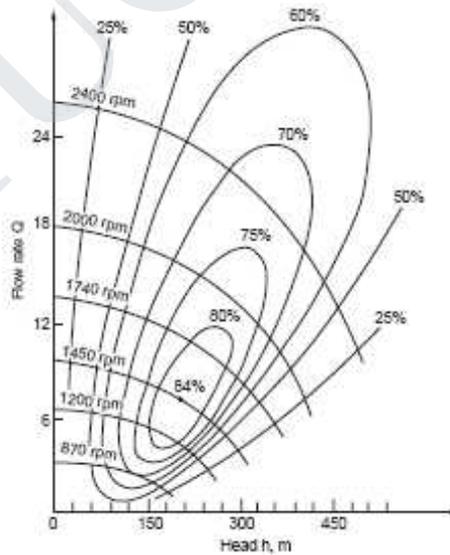
**5.1.12 Characteristics of a centrifugal pump**

The characteristic of a centrifugal pump at constant speed is shown in Figure. It may be noted that the power increases and decreases after the rated capacity. In this way the pump is self limiting in power and the choice of the motor is made easy. The distance between the brake power and water power curves gives the losses.



**Centrifugal pump characteristics at constant speed**

The pump characteristics at various speeds including efficiency contours in shown in Figure. Such a plot helps in the development of a pump, particularly in specifying the head and flow rates.



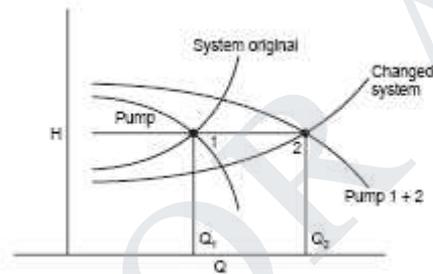
**Characteristics at various speeds**

## 5.2 Operation of Pumps in Series and Parallel

Pumps are chosen for particular requirement. The requirements are not constant as per example the pressure required for flow through a piping system. As flow increases, the pressure required increases. In the case of the pump as flow increases, the head decreases. The operating condition will be the meeting point of the two curves representing the variation of head required by the system and the variation of head of the pump. This is shown in Figure.

The operating condition decides about the capacity of the pump or selection of the pump. If in a certain setup, there is a need for increased load; either a completely new pump may be chosen. This may be costlier as well as complete revamping of the setup. An additional pump can be the alternate choice. If the head requirement increases the old pump and the new pump can operate in series.

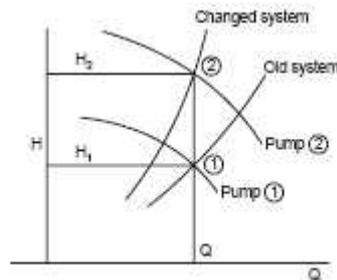
In case more flow is required the old pump and the new pump will operate in parallel. There are also additional advantages in two pump operation. When the Pump-load characteristics load is low one of the pump can operate with a higher efficiency when the load increases then the second pump can be switched on thus improving part load efficiency. The characteristics of parallel operation is depicted in Figure



### 5.2.1 Pumps in parallel

The original requirement was  $Q_1$  at  $H_1$ . Pump 1 could satisfy the same and operating point is at when the flow requirement and the system characteristic is changed such that  $Q_2$  is required at head  $H_1$ , then two pumps of similar characteristics can satisfy the requirement.

Providing a flow volume of  $Q_2$  as head  $H_1$ . It is not necessary that similar pumps should be used. Suitable control system for switching on the second pump should be used in such a case. When the head requirement is changed with flow volume being the same, then the pumps should work in series. The characteristics are shown in Figure.



### 5.2.2 Pumps in series

The flow requirement is  $Q$ . Originally head requirement was  $H_1$  met by the first pump alone. The new requirement is flow rate  $Q$  and head  $H_2$ . This can be met by adding in series the pump2, which meets this requirement. It is also possible to meet changes in both

head and flow requirements by the use of two pumps. Suitable control system should be installed for such purposes.

**Problem 1:** The following details refer to a centrifugal pump. Outer diameter : 30 cm. Eye diameter : 15 cm. Blade angle at inlet :  $30^\circ$ . Blade angle at outlet :  $25^\circ$ . Speed 1450 rpm. The flow velocity remains constant. The whirl at inlet is zero. Determine the work done per kg. If the manometric efficiency is 82%, determine the working head. If width at outlet is 2cm, determine the power  $\eta = 76\%$ .

$$u_1 = \frac{\pi \times 0.3 \times 1450}{60} = 22.78 \text{ m/s}$$

$$u_2 = 11.39 \text{ m/s}$$

From inlet velocity diagram,

$$V_{r1} = u_1 \tan \beta_1$$

$$= 11.39 \times \tan 30 = 6.58 \text{ m/s}$$

From the outlet velocity diagram,

$$V_{u2} = u_2 - \frac{V_{r2}}{\tan \beta_2} = 22.78 - \frac{6.58}{\tan 25} = 8.69 \text{ m/s}$$

Work done per kg

$$= u_2 V_{u2} = 22.78 \times 8.69$$

$$= 197.7 \text{ Nm/kg/s}$$

$$\eta_m = 0.82 = \frac{gH}{197.7}$$

$$\therefore H = 16.52 \text{ m}$$

Flow rate =  $\pi \times 0.3 \times 0.02 \times 6.58 = 0.124 \text{ m}^3/\text{s}$

$$\text{Power} = \frac{0.124 \times 10^3 \times 9.81 \times 16.52}{0.76 \times 10^3} = 26.45 \text{ kW}$$

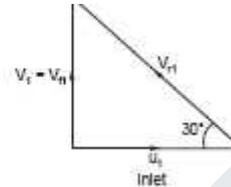


Figure P. 15.1(a)

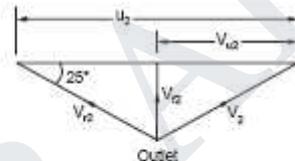


Figure P. 15.1(b)

### 5.2.3 Minimum Speed For Starting The Centrifugal Pump

$$N = (120 \eta_{\text{man}} V_{w2} D_2) / (\pi (D_2^2 - D_1^2))$$

Where

$\eta_{\text{man}}$  - manometric efficiency  
 $V$  - Whirl at out let of the turbine

$D_2$  - diameter of impeller at out let

### 5.2.4 Net Positive Suction Head (NPSH)

The pump manufacturer's specified margin of suction pressure above the boiling point of the liquid being pumped, is required to prevent cavitation. This pressure is called the 'Net Positive Suction Head' pressure (NPSH).

In order to ensure that a NPSH pressure is maintained, the Available NPSH should be higher than that required. The NPSH depends on the height and density of the liquid and the pressure above it.

### 5.2.5 Cavitation

Cavitations is a problem condition which may develop while a centrifugal pump is operating. This occurs when a liquid boils inside the pump due to insufficient suction head pressure. Low suction head causes a pressure below that of vaporization of the liquid, at the eye of the impeller. The resultant gas which forms causes the formation and collapse of 'bubbles' within the liquid. This, because gases cannot be pumped together with the liquid, causes violent fluctuations of pressure within the pump casing and is seen on the discharge gauge.

These sudden changes in pressure cause vibrations which can result in serious

damage to the pump and, of course, cause pumping inefficiency.

**To overcome cavitations:**

1. Increase suction pressure if possible.
2. Decrease liquid temperature if possible.
3. Throttle back on the discharge valve to decrease flow-rate.
4. Vent gases off the pump casing.

### 5.2.6 Multistage Pump

If centrifugal pump consists of two or more impellers the pump is called Multistage pump. To produce a high head impellers are connected in series .To produce high discharge impellers are connected in parallel.

## 5.3 Reciprocating Pumps

### Introduction

There are two main types of pumps namely the dynamic and positive displacement pumps. Dynamic pumps consist of centrifugal, axial and mixed flow pumps. In these cases pressure is developed by the dynamic action of the impeller on the fluid. Momentum is imparted to the fluid by dynamic action. This type was discussed in the previous chapter. Positive displacement pumps consist of reciprocating and rotary types. These types of pumps are discussed in this chapter. In these types a certain volume of fluid is taken in an enclosed volume and then it is forced out against pressure to the required application.

#### 5.3.1 Comparison

##### Dynamic pumps

1. Simple in construction.
2. Can operate at high speed and hence compact.
3. Suitable for large volumes of discharge at moderate pressures in a single stage.
4. Lower maintenance requirements.
5. Delivery is smooth and continuous.

##### Positive displacement pumps

1. More complex, consists of several moving parts.
2. Speed is limited by the higher inertia of the moving parts and the fluid.
3. Suitable for fairly low volumes of flow at high pressures.
4. Higher maintenance cost.
5. Fluctuating flow.

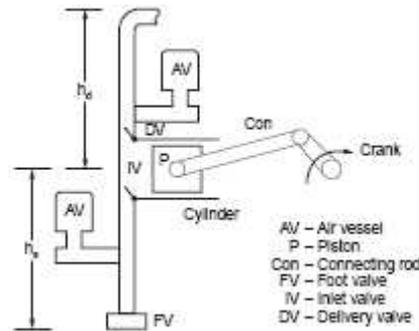
#### 5.3.2 Description And Working

The main components are:

1. Cylinder with suitable valves at inlet and delivery.
2. Plunger or piston with piston rings.
3. Connecting rod and crank mechanism.
4. Suction pipe with one way valve.
5. Delivery pipe.
6. Supporting frame.

7. Air vessels to reduce flow fluctuation and reduction of acceleration head and friction head.

A diagrammatic sketch is shown in Fig



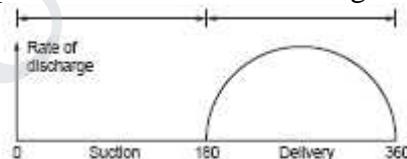
### Diagrammatic view of single acting reciprocating pump

The action is similar to that of reciprocating engines. As the crank moves outwards, the piston moves out creating suction in the cylinder. Due to the suction water/fluid is drawn into the cylinder through the inlet valve. The delivery valve will be closed during this outward stroke.

During the return stroke as the fluid is incompressible pressure will developed immediately which opens the delivery valve and closes the inlet valve. During the return stroke fluid will be pushed out of the cylinder against the delivery side pressure. The functions of the air vessels will be discussed in a later section. The volume delivered per stroke will be the product of the piston area and the stroke length.

In a single acting type of pump there will be only one delivery stroke per revolution. Suction takes place during half revolution and delivery takes place during the other half. As the piston speed is not uniform (crank speed is uniform) the discharge will vary with the position of the crank. The discharge variation is shown in figure.

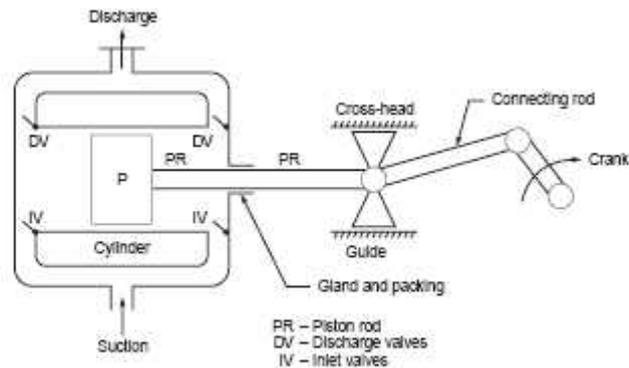
In a single acting pump the flow will be fluctuating because of this operation.



### Flow variation during crank movement of single acting pump

Fluctuation can be reduced to some extent by double acting pump or multicylinder pump. The diagrammatic sketch of a double acting pump is shown in figure In this case the piston cannot be connected directly with the connecting rod.

A gland and packing and piston rod and cross- head and guide are additional components. There will be nearly double the discharge per revolution as compared to single acting pump. When one side of the piston is under suction the other side will be delivering the fluid under pressure. As can be noted, the construction is more complex.



**Diagrammatic view of a double action pump**

### 5.3.3 Flow Rate and Power

Theoretical flow rate per second for single acting pump is given by,

$$Q_{SA} = \frac{L A N}{60} \text{ m}^3/\text{s} \quad (16.3.1)$$

Where  $L$  is the length of stroke,  $A$  is the cylinder or piston area and  $N$  is the revolution per minute. It is desirable to express the same in terms of crank radius and the angular velocity as simple harmonic motion is assumed.

$$\omega = \frac{2\pi N}{60}, N = \frac{60 \omega}{2\pi}, r = \frac{L}{2}$$

$$Q_{SA} = \frac{2r \cdot A \times 60 \omega}{2\pi \times 60} = \frac{A \omega r}{\pi} \text{ m}^3/\text{s} \quad (16.3.1a)$$

In double acting pumps, the flow will be nearly twice this value. If the piston rod area is taken into account, then

$$Q_{DA} = \frac{A L N}{60} + (A - A_{PR}) \frac{L N}{60} \text{ m}^3/\text{s} \quad (16.3.2)$$

Compared to the piston area, the piston rod area is very small and neglecting this will lead to an error less than 1%.

$$Q_{DA} = \frac{2A L N}{60} = \frac{2A \omega r}{\pi} \text{ m}^3/\text{s}$$

### 5.3.4 Slip

There can be leakage along the valves, piston rings, gland and packing which will reduce the discharge to some extent. This is accounted for by the term slip.

$$\text{Percentage of slip} = \frac{Q_{th} - Q_{ac}}{Q_{th}} \times 100$$

Where  $Q_{th}$  is the theoretical discharge given by equation and  $Q_{ac}$  is the measured discharge.

If actual discharge is greater than theoretical discharge negative value is found this negative value is called negative slip.

### 5.3.5 Coefficient of discharge

$$\text{Coefficient of discharge, } C_d = \frac{Q_{ac}}{Q_{th}}$$

It has been found in some cases that  $Q_{ac} > Q_{th}$ , due to operating conditions. In this case the slip is called negative slip. When the delivery pipe is short or the delivery head is small and the accelerating head in the suction side is high, the delivery valve is found to open

before the end of suction stroke and the water passes directly into the delivery pipe. Such a situation leads to negative slip.

$$\text{Theoretical power} = mg(h_s + h_d) W$$

where  $m$  is given by  $Q \times \rho$ .

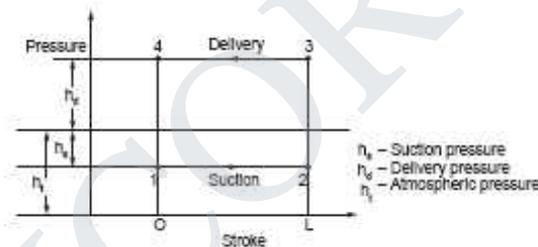
**Problem.1** A single acting reciprocating pump has a bore of 200 mm and a stroke of 350 mm and runs at 45 rpm. The suction head is 8 m and the delivery head is 20 m. Determine the theoretical discharge of water and power required. If slip is 10%, what is the actual flow rate ?

$$\begin{aligned} \text{Theoretical flow volume } Q &= \frac{L A N}{60} = \frac{0.35 \times \pi \times 0.2^2}{4} \times \frac{45}{60} \\ &= 8.247 \times 10^{-4} \text{ m}^3/\text{s} \text{ or } 8.247 \text{ l/s} \text{ or } 8.247 \text{ kg/s} \\ \text{Theoretical power} &= (\text{mass flow/s}) \times \text{head in m} \times g \text{ Nm/s or W} \\ &= 8.247 \times (20 + 8) \times 9.81 \\ &= 3039 \text{ W or } 3.039 \text{ kW} \\ \text{Slip} &= \frac{Q_{th} - Q_{ac}}{Q_{th}} = 0.1 = \frac{8.247 - Q_{ac}}{8.247} \\ \therefore Q_{actual} &= 7.423 \text{ l/s} \end{aligned}$$

The actual power will be higher than this value due to both solid and fluid friction.

### 5.3.6 Indicator Diagram

The pressure variation in the cylinder during a cycle consisting of one revolution of the crank. When represented in a diagram is termed as indicator diagram. The same is shown in figure.



#### Indicator diagram for a crank revolution

Figure represents an ideal diagram, assuming no other effects are involved except the suction and delivery pressures. Modifications due to other effects will be discussed later in the section. Point 1 represents the condition as the piston has just started moving during the suction stroke.

1-2 represents the suction stroke and the pressure in the cylinder is the suction pressure below the atmospheric pressure. The point 3 represents the condition just as the piston has started moving when the pressure increases to the delivery pressure. Along 3-4 representing the delivery stroke the pressure remains constant. The area enclosed represents the work done during a crank revolution to some scale.

$$\text{Power} = Q \rho g (h_s + h_d) = \rho g L A N (h_s + h_d) / 60$$

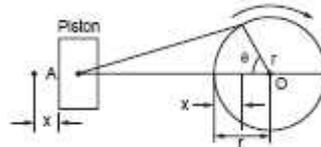
### 5.3.7 Acceleration Head

The piston in the reciprocating pump has to move from rest when it starts the suction stroke. Hence it has to accelerate. The water in the suction pipe which is also not flowing at this point has to be accelerated. Such acceleration results in a force which when divided by area results as pressure.

When the piston passes the mid point, the velocity gets reduced and so there is a deceleration. This again results in a force which when divided by area results as pressure.

in a pressure. These pressures are called acceleration pressure and is denoted as head of fluid ( $h = P/\gamma$ ) for convenience.

Referring to the figure shown below the following equations are written.



### Piston Crank Configuration

Let  $\omega$  be the angular velocity.

Then at time  $t$ , the angle travelled  $\theta = \omega t$

Distance  $x = r - r \cos \theta = r - r \cos \omega t$

Velocity at this point,

$$v = \frac{dx}{dt} = \omega r \sin \omega t$$

The acceleration at this condition

$$\dot{x} = \frac{dv}{dt} = \omega^2 r \cos \omega t$$

This is the acceleration in the cylinder of area  $A$ . The acceleration in the pipe of area  $a$  is  $= A/a \omega^2 r \cos \omega t$ . This head is imposed on the piston in addition to the static head at that condition. This results in the modification of the indicator diagram as shown in figure.

Accelerating force = mass  $\times$  acceleration.

$$\text{mass in the pipe} = \rho a l \text{ kg} = \frac{\gamma a l}{g}$$

$$\therefore \text{Acceleration force} = \frac{\gamma a l}{g} \times \frac{A}{a} \omega^2 r \cos \omega t$$

Pressure = force/area

$$= \frac{\gamma a l}{g} \cdot \frac{1}{a} \cdot \frac{A}{a} \omega^2 r \cos \omega t$$

$$= \frac{\gamma l}{g} \cdot \frac{A}{a} \omega^2 r \cos \theta$$

Head = Pressure/ $\gamma$

$$h_a = \frac{l}{g} \cdot \frac{A}{a} \omega^2 r \cos \theta$$

This head is imposed on the piston in addition to the static head at that condition. This results in the modification of the indicator diagram as shown in figure.

This head is imposed on the piston in addition to the static head at that condition. This results in the modification of the indicator diagram as shown in figure 16.4.3.

(i) Beginning of suction stroke:  $\theta = 0$ ,  $\cos \theta = 1$

$$\therefore h_{as} = \frac{l_s}{g} \cdot \frac{A}{a_s} \cdot \omega^2 r$$

This is over and above the static suction head. Hence the pressure is indicated by 1' in the diagram.

(ii) Middle of stroke:  $\theta = 90^\circ \therefore h_{as} = 0$ . There is no additional acceleration head.

(iii) End of stroke:  $\theta = 180^\circ$ ,  $\cos \theta = -1$

$$\therefore h_{as} = -\frac{l_s}{g} \cdot \frac{A}{a_s} \cdot \omega^2 r$$

This reduces the suction head. Hence the pressure is indicated at 2' in the diagram.

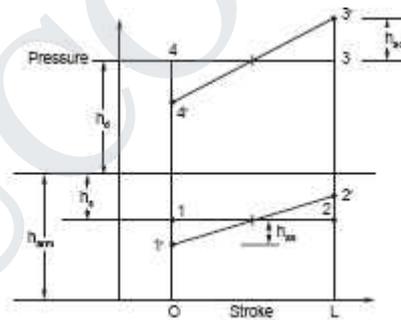
Similarly during the beginning of the delivery stroke

$$\theta = 0, \cos \theta = 1$$

$$h_{ad} = \frac{l_d}{g} \cdot \frac{A}{a_d} \cdot \omega^2 r$$

This head is over and above the static delivery pressure. The pressure is indicated by point 3' in the diagram. At the middle stroke  $h_{ad} = 0$ . At the end of the stroke  $h_{ad} = -\frac{l_d}{g} \cdot \frac{A}{a_d} \cdot \omega^2 r$ .

This reduces the pressure at this condition and the same is indicated by 4', in the diagram.



**Modified indicator diagram due to acceleration head**

The effect of acceleration head are:

No change in the work done. pressure at 1 is around 2.5 m of head of water (absolute). Which is directly related to speed, the speed of operation of reciprocating pumps is limited. Later it will be shown than the installation of an air vessel alleviates this problem to some extent.

### 5.3.8 Work done by the Pump

For single acting

$$W = gALN(h_s + h_d + 0.67h_{fs} + 0.67h_{fd})/60$$

For Double acting

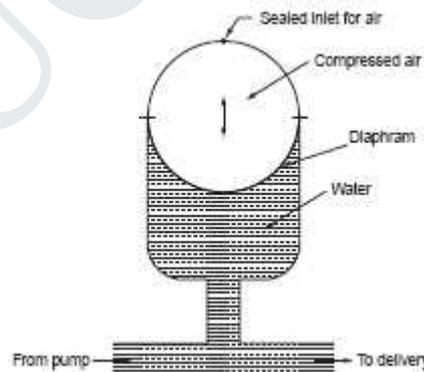
$$W = 2 gALN(h_s + h_d + 0.67h_{fs} + 0.67h_{fd})/60$$

Where  $h_{fs}$ ,  $h_{fd}$  = loss of head due to acceleration in the suction and delivery Pipe.

#### 5.4 Air Vessels

Air vessel is a strong closed vessel as shown in figure. The top half contains compressed air and the lower portion contains water or the fluid being pumped. Air and water are separated by a flexible diaphragm which can move up or down depending on the difference in pressure between the fluids. The air charged at near total delivery pressure/suction pressure from the top and sealed. The air vessel is connected to the pipe lines very near the pump, at nearly the pump level. On the delivery side, when at the beginning and up to the middle of the delivery stroke the head equals  $h_s + h_f + h_a$ , higher than the static and friction heads. At this time part of the water from pump will flow into the air vessel and the remaining will flow through the delivery pipe. This will increase the compressed air pressure. At the middle stroke position the head will be sufficient to just cause flow. The whole of the flow from pump will flow to the delivery pipe. At the second half of the stroke the head will be equal to  $h_s + h_f - h_a$ . At the position the head will be not sufficient to cause flow. The compressed air pressure will act on the water and water charged earlier into the air vessel will now flow out. Similar situation prevails on the suction side. At the start and up to the middle of the suction stroke the head at the pump is higher than static suction head by the amount of acceleration head. The flow will be more and part will flow into the air vessel. The second half of the stroke water will flow out of the air vessel.

In this process the velocity of water in the delivery pipe beyond the air vessel is uniform, and lower than the maximum velocity if air vessel is not fitted. Similar situation prevails in the suction side also. The effect is not only to give uniform flow but reduce the friction head to a considerable extent saving work. Without air vessel the friction head increases, reaches a maximum value at the mid stroke and then decreases to zero. With air vessel the friction head is lower and is constant throughout the stroke. This is due to the constant velocity in the pipe.



The advantages of installing air vessels are:

- (i) The flow fluctuation is reduced and a uniform flow is obtained.
- (ii) The friction work is reduced.
- (iii) The acceleration head is reduced considerably.
- (iv) Enables the use of higher speeds.

#### 5.5 Types of positive displacement pump

- Rotary pumps
- Reciprocating (piston) pumps
- Gear pump

### 5.1.1. Rotary Pumps

In Rotary pumps, movement of liquid is achieved by mechanical displacement of liquid produced by rotation of a sealed arrangement of intermeshing rotating parts within the pump casing.

### 5.1.2. The gear pump

#### Construction and Operation:

In this pump, intermeshing gears or rotors rotate in opposite directions, just like the gears in a vehicle or a watch mechanism. The pump rotors are housed in the casing or stator with a very small clearance between them and the casing. (The fluid being pumped will lubricate this small clearance and help prevent friction and therefore wear of the rotors and casing).

In this type of pump, only one of the rotors is driven. The intermeshing gears rotate the other rotor. As the rotors rotate, the liquid or gas, (this type of machine can also be used as a compressor), enters from the suction line and fills the spaces between the teeth of the gears and becomes trapped forming small 'Slugs' of fluid between the teeth.

The slugs are then carried round by the rotation of the teeth to the discharge side of the pump.

At this point, the gears mesh together and, as they do so, the fluid is displaced from each cavity by the intermeshing teeth.

Since the fluid cannot pass the points of near contact of the intermeshed teeth nor between the teeth and casing, it can only pass into the discharge line.

As the rotation continues, the teeth at the suction end are opened up again and the same amount of fluid will fill the spaces and the process repeated. The liquid at the discharge end is constantly being displaced (moved forward).

Thus gear pumps compel or force a fixed volume of fluid to be displaced for each revolution of the rotors giving the 'Positive Displacement' action of the pump.

Gear pumps are generally operated at high speed and thus give a fairly pulse-free discharge flow and pressure. Where these pumps are operated at slower speeds, as in pumping viscous liquids, the output tends to pulsate due to the meshing of the teeth. Any gas or air drawn into the pump with the liquid, will be carried through with the liquid and will not cause cavitation. This action of the pump means that it's a 'Self Priming' pump. The discharge pressure may however, fluctuate.

The output from this type of pump is directly proportional to the speed of operation. If the speed is doubled, the output will be doubled and the pressure will have very little effect. (At higher pressures, due to the fine clearances between the teeth and between the casing and the rotors, a small leakage back to the suction side will occur resulting in a very small drop in actual flow rate. The higher the discharge pressure, the more likely that internal leakage will occur).

Rotary pumps are widely used for viscous liquids and are self-lubricating by the fluid being pumped. This means that an external source of lubrication cannot be used as it would contaminate the fluid being pumped. However, if a rotary pump is used for dirty liquids or slurries, solid particles can get between the small clearances and cause wear of the teeth and casing. This will result in loss of efficiency and expensive repair or replacement of the pump.